



## Office of the Director of National Intelligence

### Civil Liberties and Privacy Office

#### Section 803 Quarterly Report

Reporting Period: 01 March 2013 through 30 June 2013

Pursuant to Section 803 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (9/11 Act), Public Law No. 110-53, the Civil Liberties and Privacy Office within the Office of the Director of National Intelligence (ODNI) provides this accounting of reviews conducted of specified agency activities to ensure that civil liberties and privacy requirements are being adequately considered. This report summarizes: the category of reviews conducted; the type of advice, guidance or response furnished; and the type of complaints received and processed by the ODNI Civil Liberties and Privacy Office. The report's format reflects the common methodology, scope and metrics for Section 803 reporting developed by the departmental and agency civil liberties and privacy offices enumerated in that section of the Act.

In coordination with the ODNI Office of Legislative Affairs, CLPO has determined to align its quarterly reporting schedule under the Act with the calendar year, rather than the fiscal year. To make this adjustment, the current reporting period has been extended by one month, covering the period March 1, 2013 through June 30, 2013 (four months). Future reports will reflect customary quarterly intervals, January-March/April-June/July-September/October-December.

As directed by Section 803, the ODNI Civil Liberties and Privacy Office provide quarterly reports. The next report will cover the period of 01 July 2013 through 30 September 2013.

### ODNI Civil Liberties Protection Officer

The position of the ODNI Civil Liberties Protection Officer (CLPO) was established by Section 103D of the Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA), which amended the National Security Act of 1947. As set forth in Section 1016 of the IRTPA, the duties of the CLPO are to:

- Ensure that the protection of civil liberties and privacy is appropriately incorporated in the policies and procedures of the ODNI and the elements of the Intelligence Community within the National Intelligence Program;
- Oversee compliance by the ODNI with legal and policy requirements relating to civil liberties and privacy;
- Review and, as appropriate, investigate complaints concerning possible abuses of civil liberties and privacy in ODNI programs and operations;

## Section 803 Quarterly Report

Reporting Period: 01 March 2013 through 30 June 2013

- Ensure the use of technologies sustain, and do not erode, privacy protections;
- Ensure that personal information contained in a system of records is handled in compliance with the Privacy Act;
- Conduct privacy impact assessments when appropriate or as required by law; and
- Perform such other duties as may be prescribed by the DNI or specified by law.

The CLPO's statutory duties correspond to the functions for which Section 803(a) mandates activity reports; generally, review of civil liberties and privacy considerations in agency implementation of, or proposals to develop, laws, regulations, policies, procedures or guidelines; review of civil liberties or privacy complaints and associated redress; and review of civil liberties and privacy safeguards appropriate to existing or proposal governmental action.

### **Reporting Table**

The table below sets forth metrics regarding activities of the ODNI Civil Liberties and Privacy Office, during the period covered by this report, in each of the areas enumerated in Section 803 of the 9/11 Commission Act. The report categories are defined as follows:

*Reviews.* Examinations of activities or processes as required by controlling civil liberties and privacy authorities.

*Advice.* The identification of specific requirements or other safeguards identified in specific circumstances to protect civil liberties and privacy, and includes the formal issuance of responsive policies, procedures, guidance, interpretations and instructions.

*Response to Advice.* Specific action taken as a result of "advice" provided, again, including policies, procedures, training, etc.

*Privacy Act Complaint.* A written allegation regarding agency non-compliance with the requirements of the Privacy Act.

*ISE Complaint.* A written allegation of harm arising from the ODNI sharing of terrorism data in the context of the Information Sharing Environment.

*Civil Liberties and Privacy Complaint.* A written allegation charging violation of information civil liberties and/or privacy rights, e.g., relating to improper collection, use, retention, and dissemination of information about U.S. persons, or in violation of First Amendment rights of free speech and association or Fifth Amendment guarantees of due process and equal protection.

*Disposition of Complaints.* Refers to administration of the complaint (vice specific resolution of the complaint), i.e., responsive action, determination of "no merit" or referral of complaint to more appropriate venue.



Section 803 Quarterly Report  
Reporting Period: 01 March 2013 through 30 June 2013

Quarterly Report of the ODNI Civil Liberties Protection Officer Pursuant to Section 803 of the 9/11  
 Commission Act of 2007  
 March - June 2013

**Reviews**

Type	Number
Compliance by ODNI with requirements under the Constitution and laws, regulations, Executive Orders, and implementing guidelines relating to civil liberties and privacy	8
Reviews to ensure that the use of technology sustains privacy protections	10
Reviews of the administration of programs and operations of the ODNI to ensure implementation and operation of appropriate safeguards related to civil liberties and privacy	31
Privacy Act/OMB Circular A-130	3
E-Gov Act-Privacy Impact Assessments (PIAs) of ODNI systems	
Privacy Guidelines of the IRTPA Information Sharing Environment	
FISA Compliance Reviews	
OMB M-10-22 Reviews (web tracking technology)	
OMB M-10-23 Reviews (use of 3 <sup>rd</sup> party websites/applications)	1
Privacy reviews of ODNI business cases supporting information technology budget requests	
OMB M-07-16 Reviews (breach of PII)	
Review of data mining activities under Section 804 of the 9/11 Act	
Other reviews directed by DNI or required by law	27

Section 803 Quarterly Report  
Reporting Period: 01 March 2013 through 30 June 2013

Quarterly Report of the ODNI Civil Liberties Protection Officer Pursuant to Section 803 of the 9/11  
 Commission Act of 2007  
 March 2013 – June 2013

**Advice and Response**

Type	Number
Regulations	
Directives	
Instructions	1
Guidance	4
Agreements	
Written Interpretations, Opinions, Memoranda	1
Training *Internal (within ODNI) <u>8</u> External (in the IC) <u>5</u> Outside IC <u>6</u>	
Procedures	
Other	55

\*This category includes training presentations given on an ongoing basis within ODNI.

Section 803 Quarterly Report  
Reporting Period: 01 March 2013 through 30 June 2013

Quarterly Report of the ODNI Civil Liberties Protection Officer Pursuant to Section 803 of the 9/11  
Commission Act of 2007  
March 2013 – June 2013

**Complaints**

Type	No.	DISPOSITION
Privacy Act		
ODNI sharing under the Information Sharing Environment (including terrorist watch list)		
Alleging violation of information privacy rights and/or civil liberties, such as those relating to alleged improper collection, use, retention, or dissemination of information about U.S. persons, or such as those relating to the alleged violation of First Amendment guarantees of freedom of speech and association or Fifth Amendment guarantees of due process and equal protection.		