

ODNI STAFF SUMMARY FORM				SPECIAL HANDLING INSTRUCTIONS			
TO		ES CONTROL NUM	IDED		COMPON	IENT CONTROL	NUMBER
DNI w	. &	(b)(3)			COMPON	IENT CONTROI	NUMBER
THROUGH 7	3-19	(3)(3)			ACTION	FO	SUSPENSE DATE
PDDNI	7/14			Х	1		uly 26, 2019
COO W	ed(b)(6)					CUS	TOMER SUSPENSE
SUBJECT	(8)(6)				SIGNATURE	Ju	ıly 29, 2019
0000001				<u> </u>	INFORMATIO	N COF	RR RECEIVED DATE
WFM: W	histleblower Appr	reciation Day					ıly 15, 2019
PURPOSE: To obtain the DNI and PDDNI's approval of a workforce message that recognizes National Whistleblower Appreciation Day (NWAD) and invites the workforce to an NWAD event. BACKGROUND: NWAD is celebrated nationally every year on July 30. The DNI is scheduled to attend this year's event. The day acknowledges the contributions of whistleblowers in combating waste, fraud, abuse, and violations of laws and regulations of the United States. CUSTOMER SUSPENSE JUSTIFICATION: WFM will be sent on Monday, July 29. RECOMMENDATION: Approve the workforce message. APPROVAL REQUEST: Director's Decision Concur Date 7/23/20/9 Nonconcur Date							
DNI FO PDDNI FI COO FO OGC FO SE FO SE SC IO Exec Sec OFFICE SE/SC OGC	NAME AND A. Schoch 7/5/ B. Brooker, 7/1	19	COORDINATION SECURE PHONE (b)(3); (b)(6)	PDDNI XO DNI XO Exec Sec		7/16/19	SECURE PHONE (b)(3); (b)(6)
ORIGINATOR (Subject Matter Expert) (b)(3); (b)(6)				OFFICE SE	PHONE (Secure) (b)(3); (b)(6)	July 15, 2019	

SSF 2019

ODNI STAFF SUMMARY FORM (continue	ed)	
SUBJECT	ES CONTROL NUMBER	COMPONENT CONTROL NUMBER
WFM: Whistleblower Appreciation Day	(b)(3)	
External Distribution:		
None		
Special Handling Instructions: SE/SC Internal Communications will dissent	minate the workforce me	ssage to ODNI workforce.

ODNI STAFF SUMMARY FORM (continued)						
SUBJECT				ES CONTROL NUMBER	COMPONENT CONTROL NUMBER	
WF	WFM: Whistleblower Appreciation Day			(b)(3)		
COORDINATION COMMENTS						
CONCUR	NON- CONCUR	OFFICE	NAME	COMMENTS		
Χ		IC IG	(b)(3); (b)(6)	SME in IC IG concurred.		
Χ		SE/SC	A. Schoch	Concurred.		
Χ		OGC	B. Brooker	Concurred with comments.		



From the DNI and PDDNI: Whistleblower Appreciation Day

On Tuesday, July 30, the Office of the Director of National Intelligence recognizes National Whistleblower Day. We celebrate America's first whistleblower law, which was passed unanimously by the Continental Congress, as well as the contributions of whistleblowers in combating waste, fraud, abuse, and violations of the laws and regulations of the United States.

ODNI leadership believes lawful whistleblowing is an essential element for good governance. National Whistleblower Appreciation Day reminds us about the legal process and protections in place that allow employees to "blow the whistle" to the appropriate authority with honest and good faith reporting of misconduct, fraud, or abuse.

We invite you to join the DNI at our National Whistleblower Appreciation Day event on Tuesday, July 30, from 10 - 11:30 a.m. in the auditorium.



From the Acting DNI

(b)(3)

Public Service is a Public Trust

To:

Classification: UNCLASSIFIED



OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE

Public Service is a Public Trust

Colleagues,

During my confirmation hearing, I made clear that Congressional oversight of intelligence activities is critical to the successful operation of the Intelligence Community. I take seriously my obligation to keep Congress informed of our work, including when things do not go as planned.

At the start of ethics training each year, we are reminded that public service is a public trust. And as public servants, we have a solemn responsibility to do what is right, which includes reporting concerns of waste, fraud, and abuse and, when necessary, bringing matters to Congress' attention under the Intelligence Community Whistleblower Protection Act. I am committed to ensuring that all whistleblower.complaints are handled appropriately and to protecting the rights of whistleblowers. Upholding the integrity of the Intelligence Community and our workforce is my number one priority.

There are allegations that I have not upheld my responsibility to follow the law. These reports are simply not true. I anticipate that there will be additional press coverage in the coming days and weeks about this matter. And while I cannot talk more about the underlying concerns, rest assured that I have your back and am doing my job in a manner that reflects the highest regard for the Constitution and the rule of law.

Even in times of public scrutiny, our focus remains the same – do our job and do it well. That is exactly what I plan to do.

Joe

(b)(3); (b)(6)

(b)(3); (b)(6) on behalf of (b)(3); (b)(6)From:

Friday, September 13, 2019 9:03 PM Sent:

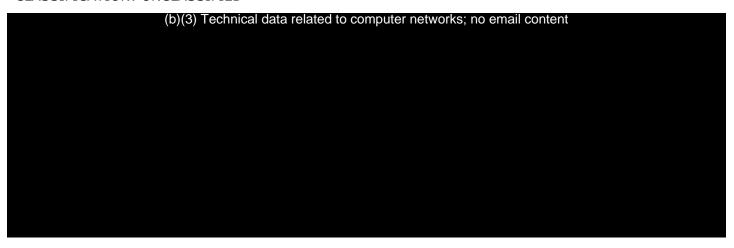
To:

Subject: (b)(3) Fwd: Schiff accuses top intel official of illegally withholding 'urgent'

whistleblower complaint - politico

***** This message has been archived. Double-Click the message to view the contents. *****

CLASSIFICATION: UNCLASSIFIED



From: (b)(3); (b)(6)

Date: Friday, September 13, 2019 at 8:37:49 PM

To: "dni-pao" >, (b)(3); (b)(6)

(b)(3); (b)(6)CC: (b)(3); (b)(6)

Subject: Schiff accuses top intel official of illegally withholding 'urgent'

whistleblower complaint - politico

09/13/2019

The nation's top intelligence official is illegally withholding a whistleblower complaint, possibly to protect President Donald Trump or senior White House officials, House Intelligence Committee Chairman Adam Schiff alleged Friday.

Schiff issued a subpoena for the complaint, accusing acting Director of National Intelligence Joseph Maguire of taking extraordinary steps to withhold the complaint from Congress, even after the intel community's inspector general characterized the complaint as credible and of "urgent concern."

?A Director of National Intelligence has never prevented a properly submitted whistleblower complaint that the [inspector general] determined to be credible and urgent from being provided to the congressional intelligence committees. Never," Schiff said in a statement. "This raises serious concerns about whether White House, Department of Justice or other executive branch officials are trying to prevent a legitimate whistleblower complaint from reaching its intended recipient, the Congress, in order to cover up serious misconduct."

Schiff indicated that he learned the matter involved "potentially privileged communications by persons outside the Intelligence Community," raising the specter that it is "being withheld to protect the President or other Administration officials." In addition, Schiff slammed Maguire for consulting the Justice Department about the whistleblower complaint "even though the statute does not provide you discretion to review, appeal, reverse, or countermand in any way the [inspector general's] independent determination, let alone to involve another entity within the Executive Branch."

"The Committee can only conclude, based on this remarkable confluence of factors, that the serious misconduct at issue involves the President of the United States and/or other senior White House or Administration officials," Schiff wrote in a letter to Maguire on Friday.

The initial whistleblower complaint was filed last month, and Schiff indicated that it was required by law to be shared with Congress nearly two weeks ago. His subpoena requires the information to be turned over by Sept. 17 or else he intends to compel Maguire to appear before Congress in a public hearing on Sept. 19.

Schiff said Maguire declined to confirm or deny whether the whistleblower's complaint relates to anything the Intelligence Committee is currently investigating or whether White House lawyers were involved in the decision-making about the complaint.

https://www.politico.com/story/2019/09/13/schiff-maguire-intelligence-1496135

V/R			
(b)(3); (b)(6)			

Senior Watch Officer

Office Of the Director of National Intelligence

(b)(3); (b)(6)

Office of the Inspector General of the Intelligence Community's Statement on Processing of Whistleblower Complaints

(September 30, 2019) The Office of the Inspector General of the Intelligence Community (ICIG) processes complaints or information with respect to alleged urgent concerns in accordance with the Intelligence Community Whistleblower Protection Act (ICWPA) and the ICIG's authorizing statute. With respect to the whistleblower complaint received by the ICIG on August 12, 2019, the ICIG processed and reviewed the complaint in accordance with the law.

The law required that the Complainant be "[a]n employee of an element of the intelligence community, an employee assigned or detailed to an element of the intelligence community, or an employee of a contractor to the intelligence community." 50 U.S.C. § 3033(k)(5)(A). The ICIG confirmed the Complainant was such an employee, detailee, or contractor.

The law also required that the Complainant provide a complaint or information with respect to an "urgent concern," which is defined, in relevant part, as: "A serious or flagrant problem, abuse, violation of the law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information, but does not include differences of opinions concerning public policy matters." *Id.* § 3033(k)(5)(G)(i). The Inspector General of the Intelligence Community determined that the Complainant alleged information with respect to such an alleged urgent concern.

In addition, the law required the Inspector General of the Intelligence Community within 14 calendar days to determine whether information with respect to the urgent concern "appeared credible." *Id.* § 3033(k)(5)(B). The Inspector General of the Intelligence Community determined, after conducting a preliminary review, that there were reasonable grounds to believe the urgent concern appeared credible.

At the time the Complainant filed the Disclosure of Urgent Concern form with the ICIG on August 12, 2019, the ICIG followed its routine practice and provided the Complainant information, including "Background Information on ICWPA Process," which included the following language:

In order to find an urgent concern "credible," the IC IG must be in possession of reliable, first-hand information. The IC IG cannot transmit information via the ICWPA based on an employee's second-hand knowledge of wrongdoing. This includes information received from another person, such as when a fellow employee informs you that he/she witnessed some type of wrongdoing. (Anyone with first-hand knowledge



Office of the Inspector General of the Intelligence Community NEWS RELEASE

of the allegations may file a disclosure in writing directly with the IC IG.) Similarly, speculation about the existence of wrongdoing does not provide sufficient basis to meet the statutory requirements of the ICWPA. If you think wrongdoing took place, but can provide nothing more than second-hand or unsubstantiated assertions, IC IG will not be able to process the complaint or information for submission as an ICWPA.

The Disclosure of Urgent Concern form the Complainant submitted on August 12, 2019 is the same form the ICIG has had in place since May 24, 2018, which went into effect before Inspector General Atkinson entered on duty as the Inspector General of the Intelligence Community on May 29, 2018, following his swearing in as the Inspector General of the Intelligence Community on May 17, 2018. Although the form requests information about whether the Complainant possesses first-hand knowledge about the matter about which he or she is lodging the complaint, there is no such requirement set forth in the statute. In fact, by law the Complainant – or any individual in the Intelligence Community who wants to report information with respect to an urgent concern to the congressional intelligence committees – need not possess first-hand information in order to file a complaint or information with respect to an urgent concern. The ICIG cannot add conditions to the filing of an urgent concern that do not exist in law. Since Inspector General Atkinson entered on duty as the Inspector General of the Intelligence Community, the ICIG has not rejected the filing of an alleged urgent concern due to a whistleblower's lack of first-hand knowledge of the allegations.

The Complainant on the form he or she submitted on August 12, 2019 in fact checked two relevant boxes: The first box stated that, "I have personal and/or direct knowledge of events or records involved"; and the second box stated that, "Other employees have told me about events or records involved."

As part of his determination that the urgent concern appeared credible, the Inspector General of the Intelligence Community determined that the Complainant had official and authorized access to the information and sources referenced in the Complainant's Letter and Classified Appendix, including direct knowledge of certain alleged conduct, and that the Complainant has subject matter expertise related to much of the material information provided in the Complainant's Letter and Classified Appendix. In short, the ICIG did not find that the Complainant could "provide nothing more than second-hand or unsubstantiated assertions," which would have made it much harder, and significantly less likely, for the Inspector General to determine in a 14-calendar day review period that the complaint "appeared credible," as required by statute. Therefore, although the Complainant's Letter acknowledged that the Complainant was not a direct witness to the President's July 25, 2019, telephone call with the Ukrainian President, the Inspector General of the Intelligence Community determined that other information obtained during the ICIG's preliminary review supported the Complainant's allegations. The Complainant



OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY NEWS RELEASE

followed the law in filing the urgent concern complaint, and the ICIG followed the law in transmitting the information to the Acting Director of National Intelligence on August 26, 2019.

In 2018, the ICIG formed a new Center for Protected Disclosures, which has as one of its primary functions to process complaints from whistleblowers under the ICWPA. In early 2019, the ICIG hired a new Hotline Program Manager as part of the Center for Protected Disclosures to oversee the ICIG's Hotline. In June 2019, the newly hired Director for the Center for Protected Disclosures entered on duty. Thus, the Center for Protected Disclosures has been reviewing the forms provided to whistleblowers who wish to report information with respect to an urgent concern to the congressional intelligence committees. In the process of reviewing and clarifying those forms, and in response to recent press inquiries regarding the instant whistleblower complaint, the ICIG understood that certain language in those forms and, more specifically, the informational materials accompanying the forms, could be read – incorrectly – as suggesting that whistleblowers must possess first-hand information in order to file an urgent concern complaint with the congressional intelligence committees.

The ICIG's Center for Protected Disclosures has developed three new forms entitled, "Report of Fraud, Waste, and Abuse UNCLASSIFIED Intake Form"; "Disclosure of Urgent Concern Form-UNCLASSIFIED"; and "External Review Panel (ERP) Request Form – UNCLASSIFIED." These three new forms are now available on the ICIG's open website and are in the process of being added to the ICIG's classified system. The ICIG will continue to update and clarify its forms and its websites to ensure its guidance to whistleblowers is clear and strictly complies with statutory requirements. Consistent with the law, the new forms do not require whistleblowers to possess first-hand information in order to file a complaint or information with respect to an urgent concern

In summary, regarding the instant matter, the whistleblower submitted the appropriate Disclosure of Urgent Concern form that was in effect as of August 12, 2019, and had been used by the ICIG since May 24, 2018. The whistleblower stated on the form that he or she possessed both first-hand and other information. The ICIG reviewed the information provided as well as other information gathered and determined that the complaint was both urgent and that it appeared credible. From the moment the ICIG received the whistleblower's filing, the ICIG has worked to effectuate Congress's intent, and the whistleblower's intent, within the rule of law. The ICIG will continue in those efforts on behalf of all whistleblowers in the Intelligence Community.

The Intelligence Authorization Act for Fiscal Year 2010 established the Office of the Inspector General of the Intelligence Community within the Office of the Director of National Intelligence. The ICIG's mission is to provide independent and objective oversight of the programs and activities within the responsibility and authority of the Director of National Intelligence, to initiate and conduct independent audits, inspections, investigations, and reviews, and to lead and coordinate the efforts of the Intelligence Community Inspectors General Forum. The ICIG's goal is to have a positive and enduring impact throughout the Intelligence



Community, to lead and coordinate the efforts of an integrated Intelligence Community Inspectors General Forum, and to enhance the ability of the United States Intelligence Community to meet national security needs while respecting our nation's laws and reflecting its values. The Forum consists of the twelve statutory and administrative Inspectors General having oversight responsibility for an element of the Intelligence Community. The Chair of the Forum is the Inspector General of the Intelligence Community.

For more information about the ICIG, please contact IC IG PAO@dni.gov or visit the ICIG's websites				
Secure: (b)(3) Unclassified: https://www.dni.gov/icig				
For carear opportunities with the ICIC places visit				

For career opportunities with the ICIG, please visit:

Secure: Unclassified: https://www.dni.gov/careers

To report allegations of waste, fraud, or abuse, please contact the ICIG: Secure: (b)(3) | Unclassified: ICIG Hotline 855-731-3260

Secure Email: Unclassified Email: ICIGHOTLINE@dni.gov

From: <u>Michael K. Atkinson</u>

Subject: National Whistleblower Appreciation Day **Date:** Tuesday, July 30, 2019 4:00:10 PM

Classification: UNCLASSIFIED



Dear Colleagues: As you know, today is National Whistleblower Appreciation Day, which commemorates the contributions of whistleblowers throughout the Intelligence Community and federal government in combating waste, fraud, abuse, and violations of laws, and honors those who have bravely come forward to tell their story. To celebrate, we hosted a National Whistleblower Appreciation Day event this morning at We were very fortunate to hear opening remarks from Director Coats, who spoke warmly of our office's mission and achievements, and from a panel of ODNI senior leaders on whistleblower rights and protections. The event was very well received and a tremendous success, as we were able to share so much valuable information with the workforce. Events like today's are important to remind us of the importance of our work. Thank you to our especially (b)(3); (b)(6) for all of their hard work in planning and preparing for this event – job well done! Also, thank you to those of you who attended, contributed to, and volunteered for today's event. Our office makes a very good impression at these public events, which is a tribute to your commitment, camaraderie, and collaborative spirit.

Classification: UNCLASSIFIED

From: From the DNI and PDDNI
Subject: National Whistleblower Day
Date: Tuesday, July 30, 2019 8:01:07 AM

National Whistleblower Day

Today, July 30, the ODNI recognizes National Whistleblower Day.

National Whistleblower Day celebrates America's first whistleblower law, passed unanimously by the Continental Congress on July 30, 1778, and acknowledges the contributions of whistleblowers in combating waste, fraud, abuse, and violations of laws and regulations of the United States.

ODNI leadership believes that lawful whistleblowing is an essential element for good governance. National Whistleblower Day reminds us about the legal process and protections in place that allow employees to "blow the whistle" to the appropriate authority with honest and good faith reporting of misconduct, fraud, or abuse.

We invite you to attend our National Whistleblower Appreciation Day event today, July 30, from 10 - 11:30 a.m. in the (b)(3) auditorium.



Classification: UNCLASSIFIED

Office of the Inspector General of the Intelligence Community Recognizes National Whistleblower Appreciation Day

(July 30, 2019) Inspector General of the Intelligence Community (ICIG) Michael K. Atkinson welcomed Director of National Intelligence Dan Coats and senior leaders from the Office of the Director of National Intelligence (ODNI) to engage the ODNI workforce on the importance of whistleblowing and its impact at an event celebrating National Whistleblower Appreciation Day at the Intelligence Community Campus in Bethesda, Maryland. This is the second year the ICIG has hosted an event celebrating National Whistleblower Appreciation Day to champion the significance of whistleblowing.

Following an introduction by Inspector General Atkinson, Director Coats provided opening remarks. The Director acknowledged whistleblowers as key sources of information for countering wrongdoing, and stressed that lawful whistleblowing is good governance. He strongly encouraged everyone to continue discussions on the importance of reporting instances of wrongdoing. The Director thanked the workforce for their dedication, emphasized the importance of its work, and took questions from the audience. The ICIG greatly appreciated the opportunity to engage with Director Coats and his continued interest and support of its mission.

Mr. Atkinson moderated a panel discussion featuring senior leaders from ODNI's Office of General Counsel, Office of the Intelligence Community Equal Employment Opportunity and Diversity, Civil Liberties, Privacy, and Transparency Office, Office of the Ombudsman, and the National Counterintelligence and Security Center. Among the topics discussed were the effect whistleblowing has had in saving United States taxpayers billions of dollars each year, and the protections that exist to ensure employee confidentiality during the reporting process. Each panel member provided an overview of the programs and services available within their offices to assist employees with getting the "right information" to the "right people," as well as how the offices work together to ensure employee concerns are addressed in a manner that safeguards information and sources. The session concluded with questions and answers from the audience.

National Whistleblower Appreciation Day celebrates America's first whistleblower law, passed unanimously by the Continental Congress on July 30, 1778, and acknowledges the contributions of whistleblowers in combating waste, fraud, abuse, and violations of laws and regulations of the United States. The United States Senate passed a resolution designating July 30th as National Whistleblower Appreciation Day. National Whistleblower Appreciation Day seeks to inform employees, contractors working on behalf of the United States taxpayers, and members of the public about the legal right of a U.S. citizen to "blow the whistle" to the appropriate authority by honest and good faith reporting of misconduct, fraud, or abuse.

The Intelligence Authorization Act for Fiscal Year 2010 established the Office of the Inspector General of the Intelligence Community within the Office of the Director of National Intelligence. The ICIG's mission is to provide independent and objective oversight of the programs and activities within the responsibility and authority of the Director of National Intelligence, to initiate and conduct independent audits, inspections, investigations, and reviews, and to lead and coordinate the efforts of the Intelligence Community Inspectors General Forum. The ICIG's goal is to have a positive and enduring impact throughout the Intelligence Community, to lead and coordinate the efforts of an integrated Intelligence Community Inspectors General Forum, and to enhance the ability of the United States Intelligence Community to meet national security needs while respecting our nation's laws and reflecting its values. The Forum consists of the twelve statutory and administrative Inspectors General having oversight responsibility for an element of the Intelligence Community. The Chair of the Forum is the Inspector General of the Intelligence Community.

For more information	about the I	CIG, please contact IC_IG_PAO@dni.gov or visit the ICIG's websites:
Secure: (b)(3)		Unclassified: https://www.dni.gov/icig
For career opportuniti	ies with the	ICIG, please visit:
Secure: (b)	3)	Unclassified: https://www.dni.gov/careers
To report allegations	of waste, fr	aud, or abuse, please contact the ICIG:
Secure: ICIG Hotline	(b)(3)	Unclassified: ICIG Hotline 855-731-3260
Secure Email:	(b)(3)	Unclassified Email: ICIGHOTLINE@dni.gov

Join DNI Coats and ODNI Leadership for an Event Celebrating National Whistleblower Appreciation Day, Tuesday, July 30, 2019 at the



You're Invited!



The Office of the Inspector General of the Intelligence Community recognizes

National Whistleblower Appreciation Day on July 30th 10:00 AM to 11:30 AM - Auditorium (VTC available)

Remarks by Director of National Intelligence Dan Coats

Hosted by Michael K. Atkinson, Inspector General of the Intelligence Community Panel discussion with experts from ODNI's OGC, CLPT, EEOD, Ombudsman, and NCSC

POC: (b)(3); (b)(6)

Be Sure to Visit Our Information Table for Giveaways!



National Whistleblower Appreciation Day celebrates America's first whistleblower law, passed unanimously by the Continental Congress on July 30, 1778. Each year, ODNI recognizes National Whistleblower Appreciation Day to acknowledge the contributions of whistleblowers in combating waste, fraud, abuse, and violations of laws and regulations and to encourage the honest and appropriate reporting of misconduct, fraud, or abuse.

DNI Coats will provide opening remarks at the ONDI event celebrating National Whistleblower Appreciation Day on Tuesday, July 30, from 10 – 11:30 a.m. in the auditorium.



The panel-style event will feature senior leaders from ODNI's Civil Liberties, Privacy, and Transparency Office, National Counterintelligence and Security Center, Office of General Counsel, Office of the Intelligence Community Equal Employment Opportunity and Diversity, and Office of the Ombudsman, will be moderated by Inspector General of the Intelligence Community Michael K. Atkinson.

There will also be time for a question and answer session. Please feel free to submit any questions you have in advance to the ICIG Whistleblower Ombuds, (b)(3); (b)(6) at (b)(3); (b)(6)

If you would like to participate via videoconference (VTC), please provide your building and room number to (b)(3); (b)(6) at (b)(3); (b)(6) before close-of-business July 25. Registration is not required.

(b)(6)Telephone: Fax: (b)(6)

Managing Partner

September 24, 2019

VIA E-MAIL THROUGH THE INTELLIGENCE COMMUNITY INSPECTOR GENERAL

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, DC 20511

RE: Notice of Intent to Contact Congressional Intelligence Committees

Dear Acting Director Maguire:

My firm represents a member of the Intelligence Community who has reported an "urgent concern" to the Office of the Intelligence Community Inspector General ("ICIG"). My client submitted a disclosure on August 12, 2019, through the established procedures promulgated by law. Within the statutorily-mandated period, the ICIG concluded that my client's disclosure was both "credible" and "urgent", as the underlying information disclosed meets the standards set forth under 50 U.S.C. § 3033(k)(5)(G).

In accordance with 50 U.S.C. § 3033(k)(5)(D)(ii)(I), I am providing you formal notice of our intent to contact the congressional intelligence committees directly. Accordingly, I request direction on doing so in accordance with appropriate security practices per 50 U.S.C. § 3033(k)(5)(D)(ii)(II).

I thank you in advance for your time and attention to this matter, and I look forward to your forthcoming guidance.

Sincerely,

(b)(6)

Lead Attorney for the Intelligence Community Whistleblower

Enclosures: None.

cc: Senate Select Committee on Intelligence

House Permanent Select Committee on Intelligence

(b)(6)

Telephone: (b)(6)

Fax: (b)(6)

(b)(6)

(b)(6)

Managing Partner

September 25, 2019

VIA E-MAIL THROUGH THE INTELLIGENCE COMMUNITY INSPECTOR GENERAL

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, DC 20511

RE: Congressional Intelligence Committees Request for Whistleblower to Appear

Dear Acting Director Maguire:

By correspondence dated September 24, 2019, Congressman Adam B. Schiff, Chairman, Permanent Select Committee on Intelligence, formally requested that our client appear before the committee for a voluntary interview on Thursday, September 26, 2019. By correspondence dated September 24, 2019, Senator Richard Burr, Chairman, and Senator Mark R. Warner, Vice Chairman, Senate Select Committee on Intelligence, formally requested that no later than Friday, September 27, 2019, we make our client available for a closed, bipartisan interview with Committee counsel.

In order to comply with the requests, I reaffirm my request for direction on how our client can proceed in accordance with appropriate security practices per 50 U.S.C. § 3033(k)(5)(D)(ii)(II).

Additionally, we also respectfully request that myself, (b)(6)
be processed and granted the appropriate security clearances so that legal counsel may be in attendance at any meetings with our client. Legal representation is imperative in these matters.

I again thank you in advance for your time and attention to this matter, and I look forward to your forthcoming guidance.

Sincerely, (b)(6)

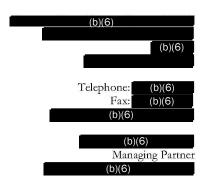
Lead Attorney for the Intelligence Community Whistleblower

Enclosures: None.

cc: Senate Select Committee on Intelligence

House Permanent Select Committee on Intelligence

(b)(6)



September 28, 2019

VIA E-MAIL THROUGH THE OFFICE OF GENERAL COUNSEL

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, DC 20511

RE: Safety Concerns Regarding the Intelligence Community Whistleblower

Dear Acting Director Maguire:

Thank you for your unqualified support of our client's statutory Whistleblower rights during your testimony at the House Permanent Select Committee on Intelligence ("HPSCI") hearing last Thursday, and also for your unwavering insistence that our client "did the right thing" and "followed the law every step of the way."

The purpose of this letter is to formally notify you of serious concerns we have regarding our client's personal safety. We appreciate your office's support thus far to activate appropriate resources to ensure their safety.

The events of the past week have heightened our concerns that our client's identity will be disclosed publicly and that, as a result, our client will be put in harm's way. On September 26, 2019, the President of the United States said the following:

I want to know who's the person that gave the Whistleblower, who's the person that gave the Whistleblower the information, because that's close to a spy. You know what we used to do in the old days when we were smart? Right? With spies and treason, right? We used to handle them a little differently than we do now.

The fact that the President's statement was directed to "the person that gave the Whistleblower the information" does nothing to assuage our concerns for our client's safety. Moreover, certain individuals have issued a \$50,000 "bounty" for "any information" relating to our client's identity. Unfortunately, we expect this situation to worsen, and to become even more dangerous for our client and any other whistleblowers, as Congress seeks to investigate this matter.

(b)(6)

Thank you for your immediate attention to the matters noted above. Please do not hesitate to contact me directly with any questions or concerns.

Sincerely,

(b)(6)

Lead Attorney for the Intelligence Community Whistleblower

Enclosures: None.

cc: The Honorable Michael Atkinson,

Intelligence Community Inspector General

Senate Select Committee on Intelligence

House Permanent Select Committee on Intelligence

ADAM B. SCHIFF, CALIFORNIA
CHAIRMAN

TIMOTHY BERGREEN, STAFF DIRECTOR (202) 225–7690 www.intelligence.house.gov



ONE HUNDRED SIXTEENTH CONGRESS

DEVIN NUNES, CALIFORNIA RANKING MEMBER

ALLEN SOUZA, MINORITY STAFF DIRECTOR

Permanent Select Committee on Intelligence U.S. House of Representatives

September 25, 2019

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, D.C. 20511

Dear Acting Director Maguire:

In a letter sent to you today, September 25, 2019, the attorney for the Intelligence Community whistleblower who submitted on August 12, 2019 the complaint that you have withheld from the Committee reaffirmed his client's request for direction on how to communicate directly with the Committee in accordance with appropriate security practices, as set forth in 50 U.S.C. § 3033(k)(5)(D)(ii)(II).

To enable the whistleblower's direct communication with the Committee, which the Committee formally requested occur tomorrow, September 25, 2019 in the afternoon, the attorney also requested that the whistleblower's three attorneys be provided appropriate security clearances so that they may be in attendance with their client for any communication with the Committee.

This is a reasonable request that the Committee strongly supports and expects your office to process immediately. Given recent declassification of information that the White House has indicated is related to the whistleblower's complaint, and what appears to be the specific subject matter involved in the complaint, providing appropriate security clearances, if even necessary anymore, should be feasible without delay.

Please advise the Committee today that your office is processing the request and by when the whistleblower's attorneys will be granted the necessary clearances to facilitate the whistleblower's statutorily-protected communication with the Committee.

Sincerely,

Adam B. Schiff

Chairman

ONE HUNDRED SIXTEENTH CONGRESS

DEVIN NUNES, CALIFORNIA
RANKING MEMBER

ALLEN SOUZA, MINORITY STAFF DIRECTOR

ADAM B. SCHIFF, CALIFORNIA CHAIRMAN

TIMOTHY BERGREEN, STAFF DIRECTOR (202) 225–7690 www.intelligence.house.gov



Permanent Select Committee on Intelligence U.S. House of Representatives

September 10, 2019

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, D.C. 20511

Dear Acting Director Maguire:

The House Permanent Select Committee on Intelligence ("Committee") has learned that, contrary to your express obligations under the law, you are withholding from the Committee an authorized and protected whistleblower disclosure involving "a serious or flagrant problem, abuse, violation of law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information."

On August 26, 2019, consistent with the procedures in the Intelligence Community Whistleblower Protection Act ("ICWPA"), the Inspector General of the Intelligence Community ("ICIG") transmitted to you a whistleblower disclosure intended for Congress, which an individual within the Intelligence Community lawfully submitted to the ICIG on August 12, 2019. Based on a preliminary review conducted within the 14-day period provided by the statute, the ICIG determined that the disclosure meets the statutory definition of an "urgent concern" and that there are reasonable grounds to believe the information relating to the urgent concern is credible.

The ICWPA requires you to forward <u>all</u> whistleblower transmittals from the ICIG to the congressional intelligence committees within a statutorily-mandated 7-day period.² You should

¹ 50 U.S.C. §3033(k)(5)(G).

² 50 U.S.C. §3033(k)(5)(A) requires that "upon receipt of a transmittal from the Inspector general...the Director *shall*, within 7 calendar days of such receipt, forward such transmittal to the congressional intelligence committees, together with any comments the Director considers appropriate" (emphasis added). The statute does not provide the Director of National Intelligence with discretion to withhold a whistleblower disclosure.

have therefore transmitted the disclosure to the Committee, together with any comments you consider appropriate, no later than September 2, 2019.

In an unprecedented departure from past practice, you have not transmitted the disclosure to the Committee, nor have you notified the Committee of the fact of the disclosure or your decision not to transmit it to the Committee. Instead, in a manner neither permitted nor contemplated under the statute, you have taken the extraordinary step of overruling the independent determination of the ICIG and preventing the disclosure from reaching the Committee.

We do not know whether this decision to withhold the disclosure was made only by you, or whether it involved interference by other parties, including the White House. The Committee's recent experience has heightened concern of improper White House efforts to influence your office and the Intelligence Community. The failure to transmit to the Committee an urgent and credible whistleblower complaint, as required by law, raises the prospect that an urgent matter of a serious nature is being purposefully concealed from the Committee.

Consistent with your obligations under the statute, the whistleblower's complaint and the ICIG's determination must be transmitted to the Committee—their intended recipient—without delay and in their entirety. You also must furnish immediately to the whistleblower, through the ICIG, any necessary direction on appropriate security procedures for the whistleblower to contact the Committee directly.³ Finally, the Committee expects to receive your express assurance that all of the whistleblower protections included in the ICWPA will be afforded to the complainant in this case.

Absent immediate compliance with the above, the Committee will resort to compulsory process to compel production of the entire whistleblower complaint in complete and unaltered form, the ICIG's determination, as well as all records pertaining to you and your office's involvement in this matter, including any and all correspondence with other Executive Branch actors, to include the White House. The Committee will also require your appearance before the Committee to testify publicly about this matter.

The statutorily-protected right of Intelligence Community employees to make disclosures to Congress is sacrosanct and must remain insulated from politicization. The Committee will take all steps necessary to ensure this right is upheld.

³ Even if the ICIG had not determined that the disclosure constituted an urgent concern, the statute provides for an Intelligence Community whistleblower to contact the congressional intelligence committees directly after the whistleblower provides notice to the ICIG of his or her intent to contact the congressional intelligence committees directly, and obtains and follows direction from you, as the Acting Director of National Intelligence, on how to contact the congressional intelligence committees in accordance with appropriate security practices. 50 U.S.C. §3033(k)(5)(D)(ii). There is no basis for you to withhold guidance from a whistleblower to permit them to make a disclosure directly to the Committee.

I look forward to receiving the complaint in full immediately, together with any comments you consider appropriate.

Sincerely,

Adam B. Schiff Chairman ADAM B. SCHIFF, CALIFORNIA CHAIRMAN

TIMOTHY BERGREEN, STAFF DIRECTOR (202) 225–7690 www.intelligence.house.gov



ONE HUNDRED SIXTEENTH CONGRESS

DEVIN NUNES, CALIFORNIA
RANKING MEMBER

ALLEN SOUZA, MINORITY STAFF DIRECTOR

Permanent Select Committee on Intelligence U.S. House of Representatives

September 13, 2019

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, D.C. 20511

Dear Acting Director Maguire:

No later than September 2, 2019, the House Permanent Select Committee on Intelligence ("Committee") should have received from you, as required by law, an urgent whistleblower disclosure involving "a serious or flagrant problem, abuse, violation of law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information." More than ten days later, the Committee has not received the disclosure, in violation of the law.

Consistent with his obligations under the Intelligence Community's whistleblower statute,² the Intelligence Community Inspector General's ("IC IG") determined that an August 12, 2019 whistleblower disclosure intended for the congressional intelligence committees from an individual within the Intelligence Community satisfied the statutory definition of an "urgent concern." Based on a preliminary review conducted within the 14-day period provided by law, the IC IG also determined that there are reasonable grounds to believe that the information relating to the urgent concern is credible.³

On August 26, the IC IG forwarded the disclosure and accompanying materials, along with his credibility determination, to you. Pursuant to the statute, this triggered a 7-day period within which you, in your capacity as Acting Director of National Intelligence ("DNI"), "shall...forward such transmittal to the congressional intelligence committees, together with any

¹ 50 U.S.C. §3033(k)(5)(G).

² 50 U.S.C. §3033(k)(5).

³ Letter from IC IG Michael Atkinson to Chairman Adam B. Schiff and Ranking Member Devin Nunes, September 9, 2019.

comments the Director considers appropriate." The Committee should have therefore received this urgent whistleblower disclosure from you no later than September 2, 2019.

Yet, in violation of the statute's explicit command, and in a stark break with the unbroken practice of previous Directors of National Intelligence, you have refused to transmit to the Committee the whistleblower disclosure, along with the IC IG's determination that the information in the disclosure represents a credible urgent concern—even after the Committee's formal request on September 10, 2019. So far as the Committee is aware, this marks the first time a Director of National Intelligence has ever sought to overrule the IC IG and conceal from Congress a whistleblower complaint—in this case, one the IC IG has already determined to be a credible urgent concern. You have also refused, in further contravention of the statute, to provide the whistleblower with required direction, through the IC IG, on how to contact the Committee directly in a secure manner.

As Acting Director of National Intelligence, you have neither the legal authority nor the discretion to overrule a determination by the IC IG. Moreover, you do not possess the authority to withhold from the Committee a whistleblower disclosure from within the Intelligence Community that is intended for Congress. ⁶

Your office has attempted to justify doing so based on a radical distortion of the statute that completely subverts the letter and spirit of the law, as well as arrogates to the Director of National Intelligence authority and discretion he does not possess. Under the statute, the Director serves as a conduit to transmit the complaint to the congressional intelligence committee with any comments the Director considers appropriate and consistent with proper security practices.

Even though the disclosure was made by an individual within the Intelligence Community through lawful channels, you have improperly withheld that disclosure on the basis that, in your view, the complaint concerns conduct by someone outside of the Intelligence Community and because the complaint involves confidential and potentially privileged communications. In a further departure from the statute, your office consulted the Department of Justice about the complaint, even though the statute does not provide you discretion to review, appeal, reverse, or countermand in any way the IC IG's independent determination, let alone to involve another entity within the Executive Branch in the handling of a whistleblower complaint. Your office, moreover, has refused to affirm or deny that officials or lawyers at the White House have been involved in your decision to withhold the complaint from the Committee. You have also refused to rule out to me that the urgent concern, and underlying conduct, relates to an area of active investigation by the Committee.

⁴ 50 U.S.C. §3033(k)(5)(C). Emphasis added.

⁵ Even if the ICIG had determined that the complaint did *not* amount to an urgent concern, you are required by law to provide direction to the complainant, through the IC IG, as to how to contact the Committee directly in a secure manner. 50 U.S.C. §3033(D).

⁶ 50 U.S.C. §3033(k)(5)(A) requires that "upon receipt of a transmittal from the Inspector general...the Director *shall*, within 7 calendar days of such receipt, forward such transmittal to the congressional intelligence committees, together with any comments the Director considers appropriate" (emphasis added).

The Committee can only conclude, based on this remarkable confluence of factors, that the serious misconduct at issue involves the President of the United States and/or other senior White House or Administration officials. This raises grave concerns that your office, together with the Department of Justice and possibly the White House, are engaged in an unlawful effort to protect the President and conceal from the Committee information related to his possible "serious or flagrant" misconduct, abuse of power, or violation of law. ⁷

Accordingly, due to the urgency of the matter and the unlawful decision by your office to withhold from the Committee an Intelligence Community individual's credible "urgent concern" whistleblower disclosure, the Committee hereby issues the attached subpoena compelling you to transmit immediately to the Committee the disclosure, in complete and unaltered form, as well as to produce other related materials.

Absent compliance by **Tuesday**, **September 17**, the Committee will require you to appear for a public hearing on **Thursday**, **September 19** to account for the decision to withhold the whistleblower complaint from the Committee—its intended recipient—in violation of the statute. The Committee—and the American people—must know why, in violation of law, a whistleblower complaint is being concealed, whether the underlying conduct involves the President or those around him, and whether the White House is involved in trying to cover up this authorized disclosure.

As explained in more detail in Schedule A of the subpoena, the Committee requires that you produce to the Committee the following information:

- (1) The complete and unaltered whistleblower disclosure, including any annexes, addenda, or accompanying materials, regardless of classification;
- (2) The IC IG's credibility determination regarding the disclosure, along with any additional accompanying materials submitted by the IC IG to you; and
- (3) Any and all communications, records, memoranda, and documents related to the decision to withhold the disclosure to the Committee, including but not limited to any materials that relate to or involve officials at the White House or the Department of Justice.

Finally, as we discussed at length on September 12, the Committee expects the whistleblower to be fully protected from any action constituting reprisal, or threat of reprisal. This includes any adverse personnel action for making the disclosure to the IC IG and, if he or she so elects, for contacting the Committee directly, as permitted under the statute. I appreciated your personal assurance that the whistleblower must be protected. Ensuring such protection remains in effect is a priority for the Committee. To that effect, the Committee requires an assurance in writing from your office that no reprisal of any kind, or threat of reprisal, shall be directed at the whistleblower from any official within the Intelligence Community or elsewhere in the federal government, including at the White House, regardless of any contrary interpretation of the statute from any other entity in the Executive Branch.

⁷ 50 U.S.C. §3033(k)(5)(G).

The Committee also deeply appreciates IC IG Michael Atkinson's upstanding and principled handling of this matter, and fully expects that he and all members of his staff will also be protected from any reprisal or threat of reprisal for bringing this matter to the attention of the Committee, as Mr. Atkinson is required to do.

As I underscored in my September 10 letter, the right of Intelligence Community employees and contractors to make protected disclosures to Congress is sacrosanct and enshrined in law. The Committee is under a solemn obligation to ensure that the men and women of the Intelligence Community are protected when they see and report problems, abuses, or unlawful activity. The integrity of the Intelligence Community and the trust and confidence of those who serve our country selflessly is at stake.

Sincerely,

Adam B. Schiff Chairman

Enclosures

Committee Subpoena and Schedule A

Letter from Chairman Schiff to Acting Director of National Intelligence Maguire, September 10, 2019 ADAM B. SCHIFF, CALIFORNIA CHAIRMAN

TIMOTHY BERGREEN, STAFF DIRECTOR (202) 225–7690 www.intelligence.house.gov



ONE HUNDRED SIXTEENTH CONGRESS

DEVIN NUNES, CALIFORNIA
RANKING MEMBER

ALLEN SOUZA, MINORITY STAFF DIRECTOR

Permanent Select Committee on Intelligence U.S. House of Representatives

September 20, 2019

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, D.C. 20511

Dear Acting Director Maguire:

In a call we held on September 12, 2019, I underscored that the House Permanent Select Committee on Intelligence ("Committee") expects the Intelligence Community whistleblower, whose lawful August 12 disclosure of wrongdoing to the Inspector General of the Intelligence Community ("IC IG") you continue to withhold from the Committee, to be fully protected from any action constituting reprisal, or threat of reprisal. During our discussion, you gave me your personal assurance that the whistleblower will be protected.¹

On September 17, your office conveyed formally in writing that the Office of the Director of National Intelligence "will not permit the complainant to be subject to any retaliation or adverse consequence based upon his or her communicating the complaint to the ICIG."²

Although it is no substitute "for the legally enforceable statutory protection previously available to whistleblowers in the Complainant's situation," as the IC IG wrote to us on September 17,³ the Committee expects you and your office to take all measures necessary to prevent any reprisal or threat of reprisal, including any retaliation or adverse personnel action, from any quarter, to include the White House.

Your pledge, however, already risks being undercut by the President. In statements today, the President attacked the whistleblower, saying that he "just hear[s] that it's a partisan person, meaning that it comes out from another party," while asserting that the complaint is a "political hack job." The President added: "It's a partisan whistleblower. Shouldn't even have

¹ Letter from Chairman Schiff to Acting Director of National Intelligence Maguire, September 13, 2019, with attached Committee Subpoena and Schedule A.

² Letter from Office of Director of National Intelligence General Counsel Jason Klitenic to Chairman Schiff, September 17, 2019.

³ Letter from IC IG Atkinson to Chairman Schiff and Ranking Member Nunes, September 17, 2019.

information." These comments raise the specter that the President is somehow aware of the subject matter of a confidential complaint submitted to the IC IG and, most disturbingly, information about the whistleblower.

The President's abuse of his office to attack and intimidate a whistleblower is unacceptable and puts into question your assurance. At your public hearing on Thursday, September 26, the Committee will therefore expect you to address what measures you and your office have taken and plan to take to ensure the whistleblower's full protection, including from the President.

Adam B. Schiff Chairman MARTIN HEINRICH NEW MEXICO

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United States Senate

ENERGY AND NATURAL RESOURCES
INTELLIGENCE
JOINT ECONOMIC

COMMITTEES:

ARMED SERVICES

WASHINGTON, DC 20510

September 23, 2019

The Honorable Joseph Maguire Acting Director of National Intelligence Office of the Director of National Intelligence Washington, DC 20511

Dear Acting Director Maguire:

I write to express my deep concern about your handling of a whistleblower complaint that the Intelligence Community Inspector General (IC IG) Michael Atkinson brought to your attention last month.

The intelligence officer who brought this complaint to the IC IG followed the letter of the law, proceeding through formal whistleblower channels to report an issue of 'urgent concern,' defined as a "serious or flagrant problem, abuse, violation of law or Executive order, or deficiency" related to intelligence activities and involving classified information. IC IG Atkinson conducted a preliminary review of the disclosure and determined that the issue meets the definition of an urgent concern and that it appears to be credible.

Yet you overruled the IC IG's determination, which the law does not allow. In fact, the statute requires that the DNI "shall forward" the complaint to Congress – "together with any comments the DNI deems appropriate." According to the IC IG, you have prohibited any disclosures to the congressional intelligence committees, to include even the general subject matter of the complainant's allegations, in addition to the details of the complaint itself.

The IC General Counsel maintains that the complaint does not constitute an 'urgent concern,' since it does not relate to intelligence activity within the DNI's authority. But in a September 17 letter to the congressional intelligence committees, IC IG Atkinson strongly disagrees with this assessment, writing that the subject matter of the 'urgent concern' "not only falls within the DNI's jurisdiction, but relates to one of the most significant and important of the DNI's responsibilities to the American people."

Instead of entirely overruling the IC IG, the statute provides an option for the DNI to register disagreement in a separate memo, or even claim that executive privilege applies to the information. But no claim of executive privilege has been asserted, and instead, your office and the Justice Department have used a legal technicality about what constitutes an 'urgent concern' to argue that the statute in its entirety doesn't apply, leaving the whistleblower with no path forward.

U.S. intelligence professionals work on behalf of the American people and our national security, not to further the aims of any individual or political party. This whistleblower is taking great risk to his or her career and credibility by coming forward with this information. Yet the president has dismissed the complainant as "partisan," involved in a "political hack job."

At your confirmation hearing before the Senate Intelligence Committee in 2018, you stated: "I am more than willing to speak truth to power." You further stated, "I'm here to make sure that I do my darnedest to defend the Nation and to do what's right, and I intend to do that." I took you at your word and supported your nomination.

I request that you work with the IC IG to find a way to share this urgent concern with Congress, as the law envisions. The congressional intelligence committees cannot carry out our oversight responsibilities if those in the executive branch who want to share 'urgent concerns' through legally established channels are prohibited from doing so. The complainant in this case followed the law in disclosing this 'urgent concern;' your office and the Department of Justice should not now obstruct the law to keep it secret.

Sincerely,

MARTIN HEINRICH United States Senator From: From the Acting DNI
Subject: Today's Testimony

Date: Thursday, September 26, 2019 8:03:17 AM

Classification: UNCLASSIFIED



OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE

Today's Testimony

Today I will testify before Congress regarding the whistleblower complaint received last month by the ICIG. Under oath, I will explain how I have upheld my responsibility to follow the law every step of the way. I will outline the steps I took to properly handle this complaint and work with the administration and Congress to find a resolution.

I will also make clear that both the whistleblower and the ICIG have acted in good faith throughout this process. I applaud all employees who come forward under the IC Whistleblower Protection Act and am committed to protecting the rights of whistleblowers and ensuring every complaint is handled appropriately.

As I said in my statement released to the public on Tuesday evening, I have sworn an oath to the Constitution 11 times in my 36 years of public service. I view it as a covenant with each and every one of you in the IC and every American that I will faithfully discharge the duties of my office.

During this time of heavy public scrutiny, I want to thank you for continuing to carry out our vital mission. The nation is counting on us and we will not let them down.

Joe

Resources:

What Are My IC Protections? IC Whistleblower Training

Classification: UNCLASSIFIED