

**DNI FREEDOM OF INFORMATION ACT
ANNUAL REPORT**

Date of Report: 10/21/2020

Database Transactions from: 10/01/2019-10/01/2020

IV. EXEMPTION 3 STATUTES

B3 Exemptions

FOIA	45
PA	173
Total	218

V. FOIA and PA REQUESTS

Number of Requests Pending at Start of Fiscal Year - 1 Oct. (FOIA and PA)	
Total Initial Cases (Including Suspended Cases):	588
Total Suspended Cases:	0
Number of Requests Received in Fiscal Year (FOIA and PA)	
Total Initial Cases:	527
Number of Requests Processed in Fiscal Year (FOIA and PA)	
Total Initial Cases:	406
Number of Requests Pending at End of Fiscal Year - 30 Sept. (FOIA and PA)	
Total Initial Cases:	709
Total Suspended Cases:	0

B.(1) Disposition of FOIA and PA Requests - All Processed Requests

Total number of FOIA and PA Initial Cases closed	
GIF (Granted in Full)	38
GIP (Granted in Part)	199
DIF (Denied in Full)	25
<u>No Records</u>	38
NRL (No Records Located)	38
NCR (No CIA Records)	0
REF (Referred to Another Agency)	2
Cancelled/Withdrawn	14
<u>Cancelled - Fee-Related Reason</u>	0
Cancelled/Fee Commitment Required	0
Cancelled/Owes Fees	0
<u>Cancelled - Records Not Reasonably Described</u>	1
Cancelled/Cannot Determine/Too Vague	0
Cancelled/Too Broad/Not Reasonably Described	1

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<u>Cancelled - Improper FOIA Request for Other Reason</u>		3
Cancelled/Improper FOIA Request for Other Reason	3	
Cancelled/Lack of Clarification	0	
Cancelled/Multiple Reasons	0	
 <u>Cancelled - Not Agency Record</u>		 1
Cancelled/Not CIA Mission	0	
Cancelled/Not Agency Record	1	
 Cancelled/Duplicate Request		 3
 <u>Cancelled - Other Reasons</u>		 12
Cancelled/Other Explained	1	
Cancelled/Burdensome	0	
Cancelled/Question/Create Records	0	
Cancelled/Records Not Configured	0	
Cancelled/Unable to Contact or Locate Requester	6	
Cancelled/Case Opened in Error	5	
Cancelled/Aggregated Requests	0	
Cancelled/Directed Requester to Publicly Available Information	0	
 <u>Other</u>		 70
Early Appeal	3	
Early Litigation	17	
Incomplete	2	
Record Amended as Requested	0	
Record Amended in Part	0	
Record Not Amended	0	
Record Augmented by Statement	0	
Early Administrative Appeal	0	
Early ISCAP Appeal	0	
No Records Available	48	
Appeal Recommendation	0	
Appeal Fully Granted	0	
Appeal Partially Granted	0	
Appeal Fully Denied	0	
 Total		 406

B.(3) Disposition of FOIA and PA Requests - Number of Times Exemptions Applied

Total number of FOIA and PA Initial Cases where each exemption was used once		
Exemption 1 -	32	
Exemption 2 -	0	
Exemption 3 -	218	
Exemption 4 -	1	
Exemption 5 -	5	
Exemption 6 -	34	
Exemption 7a	5	
Exemption 7b	0	
Exemption 7c	2	
Exemption 7d	0	
Exemption 7e	1	
Exemption 7f	0	

VI. Appeals of Initial Denials of FOIA and PA Requests

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Number of Requests Pending at Start of Fiscal Year - 1 Oct. (FOIA and PA)	
Total Appeal Cases:	24
Total Suspended Cases:	0
Number of Requests Received in Fiscal Year (FOIA and PA)	
Total Appeal Cases:	25
Number of Requests Processed in Fiscal Year (FOIA and PA)	
Total Appeal Cases:	45
Number of Requests Pending at End of Fiscal Year - 30 Sept. (FOIA and PA)	
Total Appeal Cases:	4
Total Suspended Cases:	0

B. Disposition of FOIA and PA Appeal Requests - All Processed Requests

Total number of FOIA and PA Appeal Cases closed	
DIF or AFD (Denied in Full or Appeal Fully Denied)	9
GIP or APG (Granted in Part or Appeal Partially Granted)	0
GIF or AFG (Granted in Full or Appeal Fully Granted)	0
All Other Grant Codes (Not DIF, GIP, GIF used on Appeals)	36

C(1). Reasons for Denial of Appeal - Number of Times Exemptions Applied

Total number of FOIA and PA Appeal Cases where each exemption was used at least once	
Exemption 1 -	12
Exemption 2 -	0
Exemption 3 -	12
Exemption 4 -	0
Exemption 5 -	0
Exemption 6 -	0
Exemption 7a -	0
Exemption 7b -	0
Exemption 7c -	0
Exemption 7d -	0
Exemption 7e -	0
Exemption 7f -	0
Total	24

C(2). Reasons for Denial of Appeal - Reasons Other than Exemptions

<u>No Records</u>		8
NRL (No Records Located)	8	
NCR (No CIA Records)	0	
Records Referred at Initial Request Level		N/A
Cancelled/Withdrawn		3
<u>Cancelled - Fee-Related Reasons</u>		0
Cancelled/Fee Commitment Required	0	
Cancelled/Owes Fees	0	
<u>Cancelled - Records Not Reasonably Described</u>		0
Cancelled/Cannot Determine/Too Vague	0	
Cancelled/Too Broad/Not Reasonably Described	0	
<u>Cancelled - Improper FOIA Request for Other Reason</u>		0
Cancelled/Not Agency Record	0	
Cancelled/Lack of Clarification	0	

Cancelled/Multiple Reasons 0

<u>Cancelled - Not Agency Record</u>	0
Cancelled/Not CIA Mission	0
Cancelled/Not Agency Record	0
Cancelled/Duplicate Request	0
Early Litigation	18
Appeal Based Solely on Denial of Request for Expedited Proc.	N/A
Other (See Section VI.C(3) below.)	0
Total	29

C(3). Reasons for Denial of Appeal - Other Reasons from Section VI.C(2)

REF (Referred to Another Agency)	0
<u>Cancelled - Other Reasons</u>	0
Cancelled/Other Explained	0
Cancelled/Burdensome	0
Cancelled/Question/Create Records	0
Cancelled/Records Not Configured	0
Cancelled/Other	0
Early Appeal	0
Record Amended in Part	0
Record Augmented by Statement	0
Early Administrative Appeal	0
Other	0
Total	0

C(4). Response Time for Administrative Appeals (Working Days)

Closed FOIA and PA Appeal cases	
Median number of days	111.00
Average number of days	320.07
Lowest number of days	0
Highest number of days	1,889

C(5). Ten Oldest Pending Administrative Appeals (Working Days)

Oldest FOIA and PA Appeal cases			
1st Oldest	DF-2007-00013	10/15/2014	1497.0
2nd Oldest	DF-2018-00285	1/15/2019	432.0
3rd Oldest	DF-2019-00106	7/26/2019	298.0
4th Oldest	DF-2020-00023	2/25/2020	154.0
5th Oldest			
6th Oldest			
7th Oldest			
8th Oldest			
9th Oldest			
10th Oldest			

VII. FOIA and PA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests - Response Time for All Processed Requests (Working Days)

Simple - Closed FOIA and PA Initial cases without any taskings	
Median number of days	1.00
Average number of days	4.68
Lowest number of days	0
Highest number of days	316
Complex - Closed FOIA and PA Initial cases with taskings	
Median number of days	28.50
Average number of days	214.36
Lowest number of days	0
Highest number of days	1,823
Expedited Processing - Closed FOIA and PA Initial cases	
Median number of days	92.50
Average number of days	74.00
Lowest number of days	0
Highest number of days	111
Total - Closed FOIA and PA Initial cases	
Median number of days	3.00
Average number of days	107.27
Lowest number of days	0
Highest number of days	1,823

B. Processed Requests - Response Time for Requests in Which Information Was Granted (Working Days)

Simple - Closed FOIA and PA Initial cases without any taskings (GIP,GIF)	
Median number of days	1.00
Average number of days	1.69
Lowest number of days	0
Highest number of days	16
Complex - Closed FOIA and PA Initial cases with taskings (GIP,GIF)	
Median number of days	6.00
Average number of days	194.43
Lowest number of days	0
Highest number of days	1,823
Expedited Processing - Closed FOIA and PA Initial cases (GIP,GIF)	
Median number of days	0.00
Average number of days	0.00
Lowest number of days	0
Highest number of days	0
Total - Closed FOIA and PA Initial cases (GIP,GIF)	
Median number of days	2.00
Average number of days	90.33
Lowest number of days	0
Highest number of days	1,823

C. Processed Requests - Response Time in Day Increments (Working Days)

Closed FOIA and PA Initial Cases - Simple	
1-20	187
21-40	0
41-60	0
61-80	0
81-100	1
101-120	1
121-140	0

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141-160	0
161-180	0
181-200	0
201-300	0
301-400	1
401 + days	0
Closed FOIA and PA Initial Cases - Complex	
1-20	87
21-40	10
41-60	11
61-80	3
81-100	12
101-120	5
121-140	0
141-160	3
161-180	0
181-200	3
201-300	5
301-400	8
401 + days	35
Closed FOIA and PA Initial Cases - Expedited Processing	
1-20	1
21-40	0
41-60	0
61-80	0
81-100	2
101-120	1
121-140	0
141-160	0
161-180	0
181-200	0
201-300	0
301-400	0
401 + days	0
Closed FOIA and PA Initial Cases - Total	
1-20	274
21-40	10
41-60	11
61-80	3
81-100	13
101-120	6
121-140	0
141-160	3
161-180	0
181-200	3
201-300	5
301-400	9
401 + days	35

D. Pending Requests - All Pending Perfected Requests (Working Days)

Simple Cases - Cases without tasks	
Number of Pending cases	115

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Median number of days	478.00
Average number of days	566.30
Complex Cases - Cases with tasks	
Number of Pending cases	594
Median number of days	578.00
Average number of days	625.63
Expedited Processing Cases	
Number of Pending cases	3
Median number of days	117.00
Average number of days	114.00
Total Cases	
Number of Pending cases	709
Median number of days	571.00
Average number of days	616.00

E. Pending Requests - Ten Oldest Pending Perfected Requests (Working Days)

Oldest FOIA and PA Initial cases

1st Oldest	DF-2014-00058	11/27/2013	1718.0
2nd Oldest	DF-2014-00070	12/12/2013	1708.0
3rd Oldest	DF-2014-00072	12/16/2013	1706.0
4th Oldest	DF-2014-00148	3/25/2014	1639.0
5th Oldest	DF-2014-00153	4/1/2014	1634.0
6th Oldest	DF-2014-00155	4/1/2014	1634.0
7th Oldest	DF-2014-00156	4/1/2014	1634.0
8th Oldest	DF-2014-00158	4/1/2014	1634.0
9th Oldest	DF-2014-00159	4/1/2014	1634.0
10th Oldest	DF-2014-00169	4/8/2014	1629.0

VIII. REQUESTS FOR EXPEDITED PROCESSING & REQUEST FOR FEE WAIVER

A. Requests for Expedited Processing

Number Granted	6
Number Denied	38
Median Number of Days to Adjudicate	0.00
Average Number of Days to Adjudicate	0.00
Number Adjudicated Within Ten Calendar Days	38

Requests Denied

DF-2020-00002, DF-2020-00006, DF-2020-00017, DF-2020-00018, DF-2020-00019,
DF-2020-00031, DF-2020-00034, DF-2020-00049, DF-2020-00064, DF-2020-00065,
DF-2020-00104, DF-2020-00106, DF-2020-00110, DF-2020-00111, DF-2020-00152,
DF-2020-00173, DF-2020-00177, DF-2020-00183, DF-2020-00190, DF-2020-00194,

B. Requests for Fee Waiver (Working Days)

Number Granted	107
Number Denied	0

Median Number of Days to Adjudicate	0.00
Average Number of Days to Adjudicate	0.00
<u>Requests Denied</u>	

X. FEES COLLECTED FOR PROCESSING REQUESTS

Total Amount of Fees Collected	\$0.00
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XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Number of Backlogged Requests at of End of Fiscal Year

Number of backlogged Initials	696
Number of backlogged Appeals	4

B. Consultations on FOIA and PA Requests - Received, Processed and Pending Consultations

Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year:
21

Number of Consultations Received from Other Agencies During the Fiscal Year:
90

Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year:
81

Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of End of the Fiscal Year:
30

C. Consultations on FOIA and PA Requests - Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency (Working Days)

1st Oldest	DF-2019-00219	5/23/2019	342.0
2nd Oldest	DF-2019-00256	7/3/2019	314.0
3rd Oldest	DF-2019-00277	7/31/2019	295.0
4th Oldest	DF-2019-00278	8/1/2019	294.0
5th Oldest	DF-2019-00280	8/1/2019	294.0
6th Oldest	DF-2019-00286	8/1/2019	294.0
7th Oldest	DF-2019-00290	8/12/2019	287.0
8th Oldest	DF-2019-00295	8/26/2019	277.0
9th Oldest	DF-2019-00298	8/30/2019	273.0
10th Oldest	DF-2019-00325	9/30/2019	253.0