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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

12 March 2014

MEMORANDUM FOR: Mark Ewing, Chief Management Officer
[redacted] Head of Contracting Activity
THRU: (b)(3) [redacted]
(b)(6) [redacted] Assistant Inspector General for Investigations
(b)(7)(C) [redacted]
FROM: [redacted] Investigator
SUBJECT: Management Referral – Contractor Employee [redacted]

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(U//~~FOUO~~) From December 2012 to December 2013 the Office of the Inspector General of the Intelligence Community (IC IG) conducted various investigative activities related to [redacted], an ODNI contractor employee supporting the National Intelligence Emergency Management Activity (NIEMA). [redacted] is the owner of Quantum Radiances Corporation (QRC), a small business, which according to a current Dunn & Bradstreet Report, has two employees. QRC, specifically [redacted] is a sub-contractor to SAIC. The principal focus of the investigation was [redacted] billing practices, but there are additional concerns that fall outside the scope of the investigation that may require management attention.

(U//~~FOUO~~) [redacted] purportedly received a "PhD" in 1986 and "MS" in 1984, both in electrical engineering and from Pacific Western University in Los Angeles, California, a business entity generally known to be a so called "diploma mill." Records obtained from Pacific Western University show that [redacted] paid \$1,895 for his master's and \$1,995 for his doctorate degrees. It appears that [redacted] only legitimate degree is a bachelor of arts in political science that required no engineering courses. It was awarded to [redacted] in 1982 from Loyola University of Chicago with a 2.33 grade point average after matriculating for six years. It is also noteworthy that as an undergraduate [redacted] only mathematics training was three lower level courses. He earned a grade of D in two of the courses and withdrew from the third.

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(U//~~FOUO~~) Although [redacted] position does not require a doctorate or master's degree, he refers to himself as "doctor" and lists both Pacific Western University degrees on his SAIC resume. Such actions by [redacted] are improper because he did not earn these degrees from an accredited or credible academic institution. Additionally, based on information and belief, [redacted] claim to be a specialist in counterterrorism, narcotics, weapons, explosives, and technical security measures does not appear to be supported by any formal training or certifications in these areas.

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(U//~~FOUO~~) It is unknown whether [redacted] was selected for his contractor employee position based on his misrepresentation of his academic credentials and professional certifications. Appropriate ODNI management should consider [redacted] misrepresentations and take action if necessary. If you need further information, please contact me at [redacted] (secure) or [redacted] non-secure).

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0009 Case-Closing Memorandum

The file for case number INV-2013-0009 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from January 9, 2014 to February 26, 2015.

No further action is required.

[Redacted signature area]

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25 MAY 2014

Date

Assistant Inspector General,
Investigations

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20514

January 9, 2014

NV-2013-0009-001

(U//~~FOUO~~) MEMO FOR:



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(U//~~FOUO~~) FROM:

Investigator [REDACTED]

(U//~~FOUO~~) SUBJECT:

INV 2013-0009— [REDACTED]
[REDACTED] Misuse of Government Property)

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Background. (U//~~FOUO~~) On October 22, 2012, agency security officials referred an AIN misuse matter to the IC IG. The referral, regarding SAIC contractor [REDACTED], included a 90-page report detailing graphic sexual chat originated by [REDACTED] from May 27, 2010 through October 3, 2012. The IC IG Investigations Division opened an investigation into [REDACTED] alleged actions on March 21, 2013.

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Applicable Standard. (U) 5 C.F.R. § 2635-704(a) (Misuse of Government Property). An employee has a duty to protect and conserve Government property and shall not use such property, or allow its use, for other than authorized purposes.

Investigative Activity. (U//~~FOUO~~) In May 2013, the IC IG Investigations Division obtained additional AIN records of [REDACTED] sexual chat. We found that [REDACTED] began using AIN for sex chat in May 2010, and continued on a near daily basis until his removal ODNI facilities on July 18, 2013, under the direction of agency security officials.

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(U//~~FOUO~~) [REDACTED] often engaged in as many as 20 exchanges per day seeking sex partners. The majority of [REDACTED] sex chat included attempts to establish after work sexual encounters, descriptions of desired sex acts, and graphic descriptions of his genitalia.

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(U//~~FOUO~~) Upon discovery of information that [REDACTED] attempted to establish a sexual relationship with a possible minor residing in northern Virginia, this office referred the matter to the FBI, specifically, the Northern Virginia Internet Crimes Against Children (ICAC) task force.

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(U//~~FOUO~~) During the course of the FBI investigation into [REDACTED] interaction with a possible minor, agency security officials detected [REDACTED] attempting to establish a sex chat with another possible minor, this one residing in Colorado. At that time, on July 18, 2014, agency security officials suspended [REDACTED] access to US government systems, confiscated his access badge, and escorted him out of ODNI facilities. After these events, agency security officials notified the FBI, who referred the [REDACTED] case to local law enforcement officials affiliated with the ICAC. Agency security officials also notified Fairfax and Prince William county law enforcement agencies.

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(U//~~FOUO~~) The available evidence established that [REDACTED] misused the government AIN system for personal and possibly illegal purposes. However, quantifying the amount of government funded labor misused by [REDACTED] was not possible in this instance.

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Recommendation. (U//~~FOUO~~) Close this matter without further action.

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

26 November 2013

(U//~~FOUO~~) MEMO FOR:

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(U//~~FOUO~~) FROM:

Investigator

(U//~~FOUO~~) SUBJECT:

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(U//~~FOUO~~) [redacted] was identified in a [redacted] and The Mitre Corporation in McLean, VA. [redacted] is a Mitre employee working on ODNI contracts [redacted]

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[redacted] revealed [redacted] was not present at her assigned worksites for the full period in which she billed the contract.

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(U//~~FOUO~~) [redacted] was interviewed on 18 September 2013 and again on 31 October 2013, during which the discrepant hours were discussed. [redacted] admitted she charged hours, which she claims having worked from home. [redacted] also admitted she had never been authorized to work from home nor had she ever sought permission to do so. Working from home is not authorized under the ODNI contracts she supports. [redacted] accounted for some of the discrepant hours due to offsite meetings. During interviews with her COTR and Government Lead, it was discovered that [redacted] was authorized to bill for authorized offsite meetings, as well as certain training requiring advanced approval. Both her COTR and Government Lead confirmed that [redacted] had never sought authorization, nor had either ever authorized her to work from home. Mitre policy regarding billing to the nearest hour was factored in to calculate the total number of discrepant hours. The investigation reduced the number of discrepant hours to approximately 424.

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(U//~~FOUO~~) Finding: [REDACTED] charged approximately 424 hours from 11 October 2011 until 22 March 2013 which she did not work at her authorized places of performance. [REDACTED] has an estimated billing rate of \$76.53 per hour, which equates to approximately \$32,429 over the time period in question.

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Investigator

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

6 June 2014

MEMORANDUM FOR: (b)(3) [redacted], Executive Director, Office of the National Counterintelligence Executive

FROM: (b)(3) [redacted], (b)(6) [redacted], (b)(7)(C) [redacted] Assistant Inspector General for Investigations, Office of the Inspector General of the Intelligence Community

SUBJECT: Referral – IC IG Inquiry 2013-0063

(U//~~FOUO~~) The Office of the Inspector General of the Intelligence Community (IC IG) recently conducted an inquiry which determined there were time and attendance discrepancies associated with [redacted] a National Counterintelligence Executive (NCIX) employee. The IC IG is referring this matter to you for management consideration.

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(U//~~FOUO~~) [redacted] supervisor reported him to the IC IG and provided evidence, which included copies of several email conversations between him and [redacted] regarding non-adherence to time and attendance policies, and a signed reprimand issued to [redacted] by his supervisor regarding the matter. However, [redacted] time and attendance practices remained questionable after these counseling efforts.

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(U//~~FOUO~~) On 6 August 2013 the IC IG began a preliminary inquiry concerning [redacted] time and attendance practices. After analyzing documentary evidence and interviewing him, we found that [redacted] likely claimed 239 hours of unearned compensation.

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(U//~~FOUO~~) If you would like further information, please contact IC IG Investigator [redacted] on [redacted] (non-secure) or [redacted], or by email at [redacted]

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[redacted]

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

REPORT OF INVESTIGATION

#2013-0063

DATE: 24 January 2014

EXECUTIVE SUMMARY

(U//~~FOUO~~) [redacted] GS14 step 10 Program Manager, assigned to Office of the Director of National Intelligence, Office of the National Counterintelligence Executive, Strategic Capabilities (ODNI/ONCIX/SC) Bethesda Maryland.

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(U//~~FOUO~~) AUTHORITIES: This investigation was conducted through the National Security Act of 1947, as amended; Section 3033, under the supervision of the Assistant Inspector General for Investigations.

(U//~~FOUO~~) BACKGROUND: On 6 August 2013, the Office of the Inspector General of the Intelligence Community (IC IG) opened an investigation concerning [redacted] upon receiving an allegation that [redacted] may be involved in Time and Attendance (T&A) fraud.

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(U//~~FOUO~~) [redacted] entered on duty (EOD) with the ODNI on 10 April 2011, as a GS-14 full time staff employee. Prior to his EOD with the ODNI, [redacted] worked as a staff employee at the FBI. [redacted] professional biography includes no formal degree information.

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(U//~~FOUO~~) SUBSTANTIATED ALLEGATION: The IC IG investigation substantiated that [redacted] committed T&A fraud. From 2 July 2012 through 2 August 2013, [redacted] recorded 239 hours that he did not work; an approximate \$15,716 loss to the government.

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1. (U//~~FOUO~~) PURPOSE AND AUTHORITY: This investigation was conducted through the National Security Act of 1947, as amended; Section 3033, under the supervision of the Assistant Inspector General for Investigations, to determine if [REDACTED] committed T&A fraud. b3 b6 b7C - IG Subject

2. (U//~~FOUO~~) COMPLAINANT:

Name: [REDACTED] b3
AIN: [REDACTED] b6
Work Address: [REDACTED] b7C - IG Witness
Work Phone #: [REDACTED]

3. (U//~~FOUO~~) SUBJECT:

Name: [REDACTED] b3
AIN: [REDACTED] b6
Work Address: [REDACTED] b7C - IG Subject
Work Phone #: [REDACTED]

4. (U//~~FOUO~~) DATE AND BACKGROUND OF COMPLAINT: On 6 August 2013, the IC IG opened an investigation concerning [REDACTED] time and attendance practices. [REDACTED] current supervisor, [REDACTED], Chief, ODNI/ONCIX/SC/Project Operations Group, reported the matter to the IC IG. [REDACTED] provided evidence to the IC IG, which included an email he exchanged with [REDACTED] for not adhering to time and attendance policies, and a signed reprimand. [REDACTED] said that [REDACTED] T&A practices remained questionable after all of his counseling efforts. b3 b6 b7C - IG Subject b3 b6 b7C - IG Witness

5. (U//~~FOUO~~) ALLEGATION(S): That [REDACTED] committed T&A fraud. b3 b6 b7C - IG Subject

6. (U//~~FOUO~~) ANALYSIS OF ALLEGATION:

Allegation 1:

a. Evidence used in analysis of Allegation 1.

Documentary Evidence.

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• ~~(SECRET)~~ [REDACTED] (EXHIBIT 1) All marked b3 b6 b7C - IG Subject b7E - IG TECH

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b7C - IG Witness

• U//~~FOUO~~ Email to [REDACTED] from his current supervisor [REDACTED] Chief, ODNI/ONCIX/SC/Project Operations Group regarding [REDACTED] not adhering to T&A policies. (EXHIBIT 2) All marked b3 b6 b7C - IG Subject

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- (U//~~FOUO~~) [redacted] signed reprimand for not adhering to T&A policies. (EXHIBIT 3)
- (U//~~FOUO~~) [redacted] written statement to the IC IG. On 27 September 2013, [redacted] wrote a statement for the record which said that he did not use his government time wisely, and that he would work with his management to improve. (EXHIBIT 4)
- (U//~~FOUO~~) [redacted] mitigating documentation. On 28 October 2013, [redacted] provided documentation sufficient to prove he was at work at offsite facilities for approximately 65 hours of the 303 hours in question. The IC IG reduced the discrepant hours to 239. (applied to EXHIBIT 1)

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Testimonial Evidence:

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(U//~~FOUO~~) On 27 SEP 2013, at 10:00 AM, Investigator [redacted] and Investigator [redacted] interviewed [redacted] [redacted] was given a Warnings and Assurances Form, which he signed and stated for the record that he understood; [redacted] waived his right to remain silent, and to seek private counsel. [redacted] provided the following information:

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(U//~~FOUO~~) Investigator [redacted] provided a copy of [redacted] [redacted] for [redacted] review. [redacted] explained that he underwent multiple knee surgeries and was on medical leave for an extended amount of time ([redacted] medical leave was not considered discrepant on the spreadsheet).

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(U//~~FOUO~~) [redacted] said that he sometimes had meetings at other IC buildings, which required IC badges.

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(U//~~FOUO~~) [redacted] explained that his supervisor, [redacted], "gives no direction", and referred to him as a "clock watcher".

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[redacted] admitted that he left work early. He explained that he knocks work out pretty fast and that he's "here to serve". He said that he leaves work early to "catch a bus". He lives in Georgetown, and does not own a car.

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(U//~~FOUO~~) [redacted] wrote a statement in which he apologized for his discrepant hours, and said he would improve. The interviewed concluded at approximately 10:40 AM.

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Discussion:

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~~(SECRET)~~ The IC IG conducted a [REDACTED]

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(U//~~FOUO~~) On 27 September 2013, the IC IG interviewed [REDACTED] reviewed the analysis and explained that he was out of work for an extended time (from August 2012 through January 2013), because he underwent multiple knee surgeries. [REDACTED] admitted that he left work early, and explained that "knocks work out pretty fast", and sometimes leaves early to catch a bus, as he lives in Georgetown ([REDACTED] work location is Bethesda Maryland) and does not own a car.

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(U//~~FOUO~~) [REDACTED] wrote a statement for the record which said that he did not use his government time wisely, and that he would work with his management to improve. The IC IG tasked [REDACTED] to review his records, and provide any documentation that may prove he was conducting official business during the time in question. On 28 October 2013, [REDACTED] provided documentation sufficient to prove he was at work at offsite facilities for approximately 65 hours of the 303 hours in question. The IC IG reduced the discrepant hours to 239, an approximate \$15,716 loss to the government.

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(U//~~FOUO~~) During the entire review period, [REDACTED] took approximately 40 hours of excused absence, 266 hour of annual leave, 133 hours of sick leave, and 558 hours of medical leave. Omitting all 997 leave hours, of the total 2,280 hours reviewed, [REDACTED] inaccurately recorded approximately 19 percent of his T&A.

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(1) Allegation: That [REDACTED] committed T&A fraud

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(2) Standards:

- a. (U) Title 18 United States Code (U.S.C.) § 641 Theft of Government Property : Whoever embezzles, steals, purloins, or knowingly converts to his use or the use of another, or without authority, sells, conveys or disposes of any record, voucher, money, or thing of value of the United States or of any department or agency thereof, or any property made or being made under contract for the United States or any department or agency thereof; or Whoever receives, conceals, or retains the same with intent to convert it to his use or gain, knowing it to have been embezzled, stolen, purloined or converted – shall be fined under this title or imprisoned not more than ten years, or both; but if the value of such property in the aggregate, combining amounts from all the counts for which the defendant is convicted in a single case, does not exceed the sum of \$1,000, he shall be fined under this

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title or imprisoned not more than one year, or both. The word "value" means face, par, or market value, or cost price, either wholesale or retail, whichever is greater.

b. (U) Title 18 U.S.C. § 1001 False Statements: Whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully -

- (1) Falsifies, conceals, or covers up by any trick, scheme, or device a material fact;
- (2) Makes any materially false, fictitious, or fraudulent statement or representation; or
- (3) Makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry;

Shall be fined under this title, imprisoned not more than 5 years or, if the offense involves international or domestic terrorism, imprisoned not more than 8 years or both.

c. (U//~~FOUO~~) ODNI Instruction 73.04 Time and Attendance Responsibilities: Employees have the responsibility to submit accurate and timely official T&A reports. Employees must properly account for all hours in the biweekly pay period. Any employee who intentionally misrepresents any information on a T&A report shall be subject to disciplinary action, including termination of employment, and/or criminal prosecution by the Department of Justice.

(3) Evidence supporting substantiation:

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1) ~~(SECRET)~~ [REDACTED] (EXHIBIT 1)

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b7C - IG Witness

2) (U//~~FOUO~~) Email exchange between [REDACTED] and his current supervisor, [REDACTED], Chief, ODNI/ONCIX/SC/Project Operations Group regarding [REDACTED] not adhering to T&A practices. (EXHIBIT 2)

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3) (U//~~FOUO~~) [REDACTED] signed reprimand for not adhering to T&A policies. (EXHIBIT 3)

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4) (U//~~FOUO~~) [REDACTED] written statement to the IC IG. On 27 September 2013, [REDACTED] wrote a statement for the record which said that he did not use his government time wisely, and that he would work with his management to improve. (EXHIBIT 4)

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5) (U//~~FOUO~~) [redacted] mitigating documentation. On 28 October 2013, [redacted] provided documentation sufficient to prove he was at work at offsite facilities for approximately 65 hours of the 303 hours in question. The IC IG reduced the discrepant hours to 239.

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(4) Analysis of evidence:

(U//~~FOUO~~) All documentary and testimonial evidence revealed that from 2 July 2012 through 2 August 2013, [redacted] recorded 239 hours that he did not work; an approximate \$15,716 loss to the government.

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(5) Conclusion:

(U//~~FOUO~~) The preponderance of evidence substantiated that [redacted] committed T&A fraud. From 2 July 2012 through 2 August 2013, [redacted] recorded 239 hours that he did not work; an approximate \$15,716 loss to the government. [redacted] T&A fraud violated all of the following standards:

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1. Title 18 United States Code (U.S.C.) § 641 Theft of Government Property
2. 18 U.S.C. § 1001 False Statements
3. ODNI Instruction 73.04 Time and Attendance Responsibilities

The Department of Justice declined prosecution in favor of administrative action.

(6)(U//~~FOUO~~) RECOMMENDATIONS: That ODNI Employee Review Board takes administrative action as deemed necessary in this matter.

[redacted]
INVESTIGATOR

APPROVED:

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[redacted]
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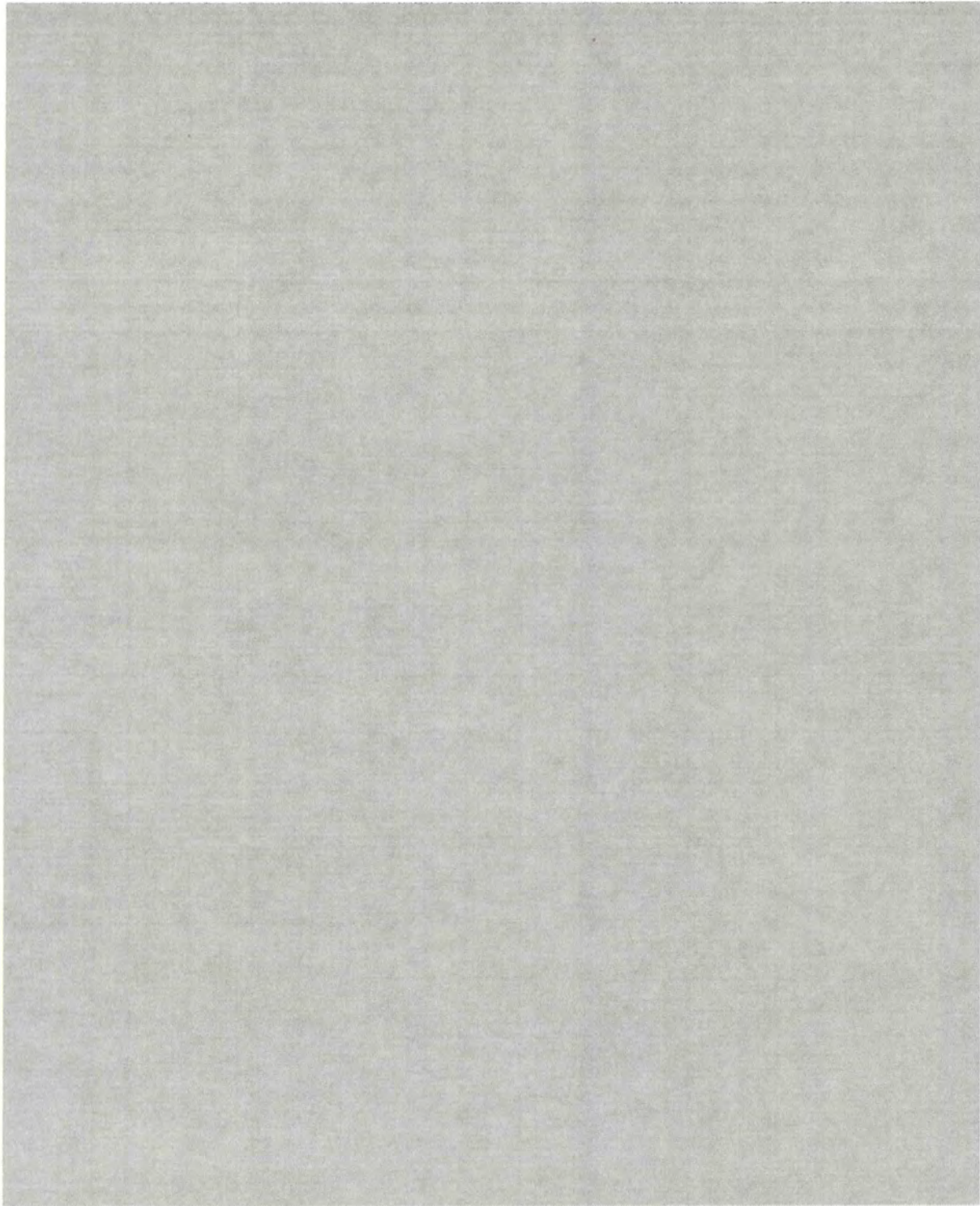
EXHIBIT 1

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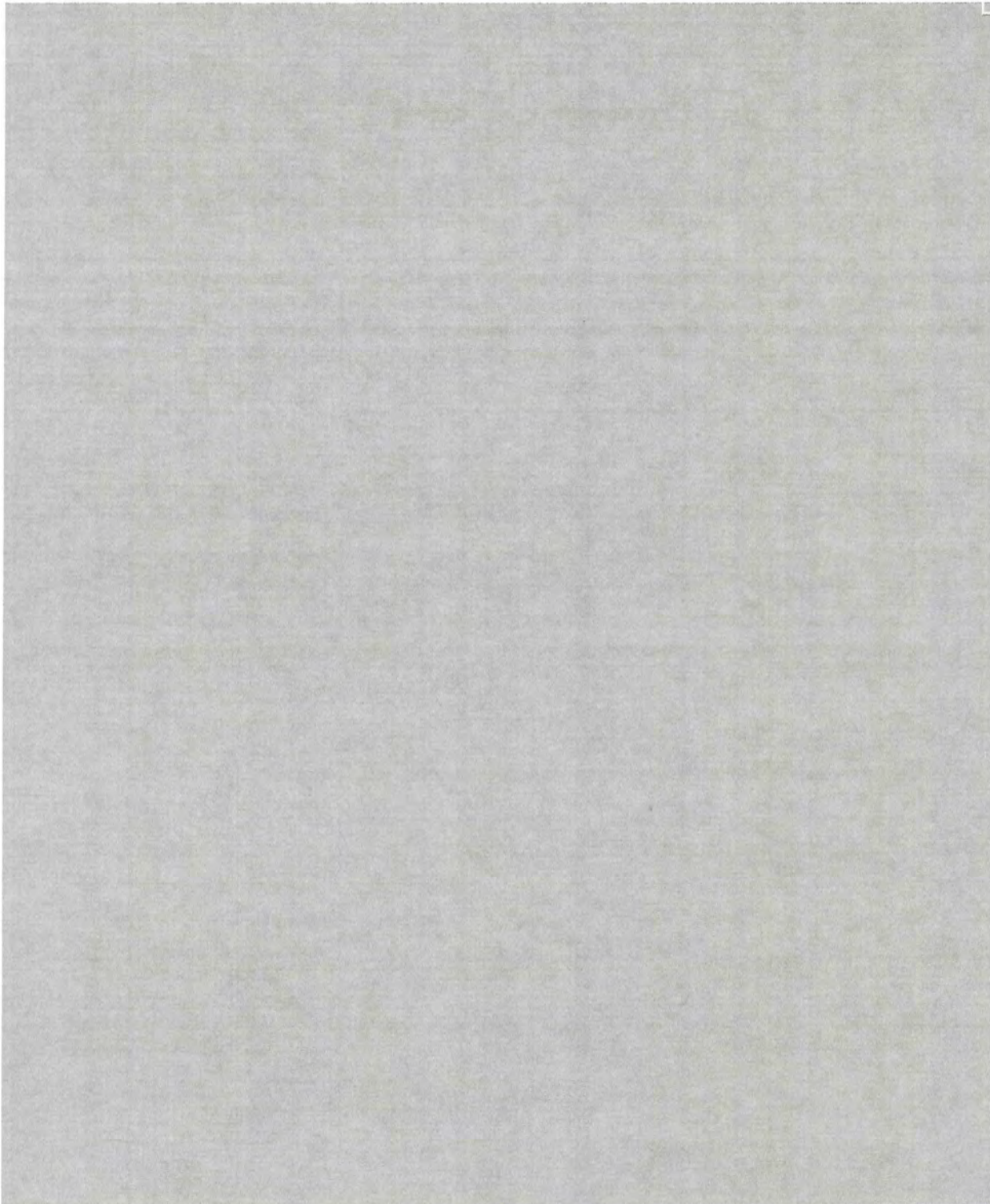
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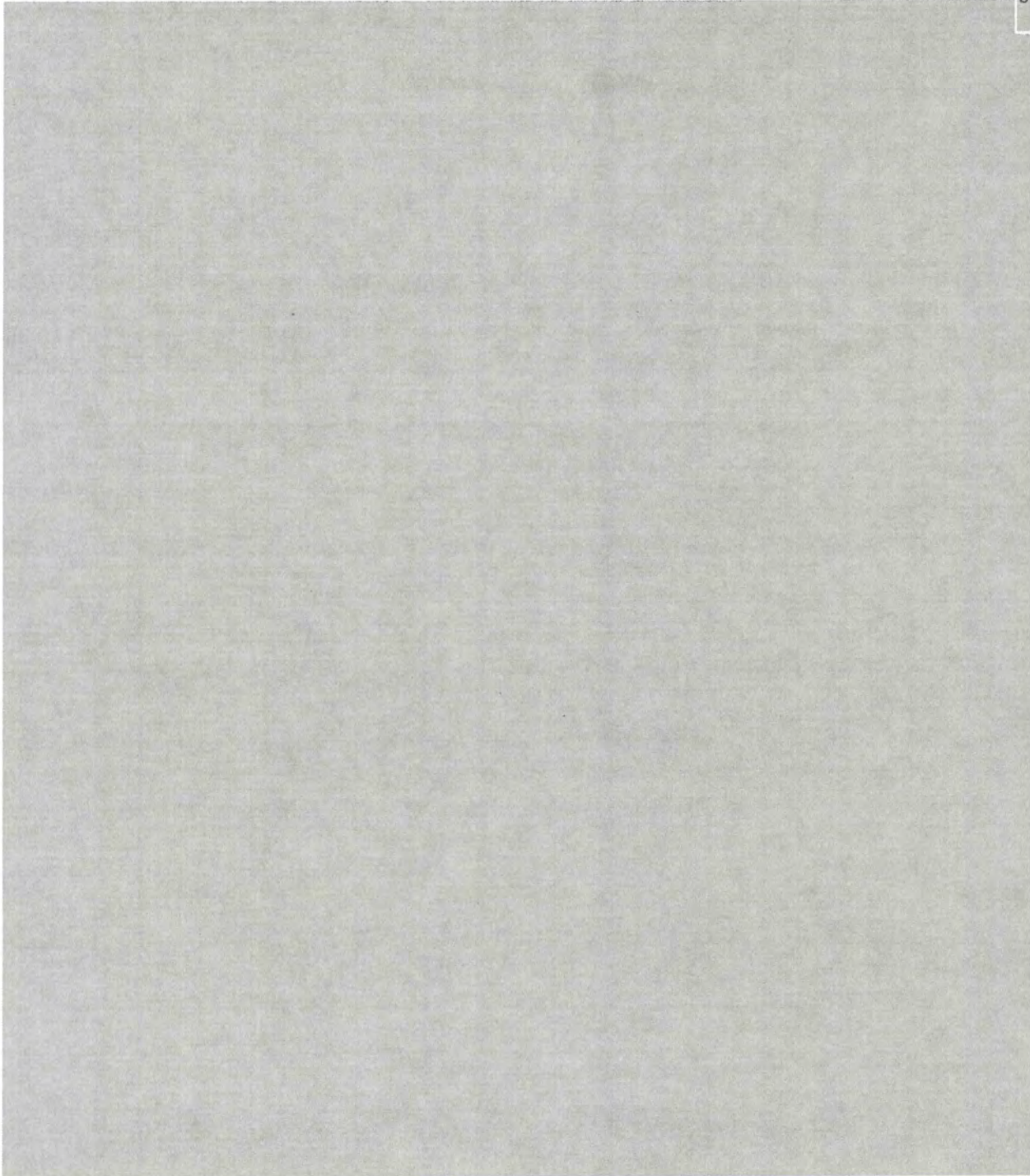
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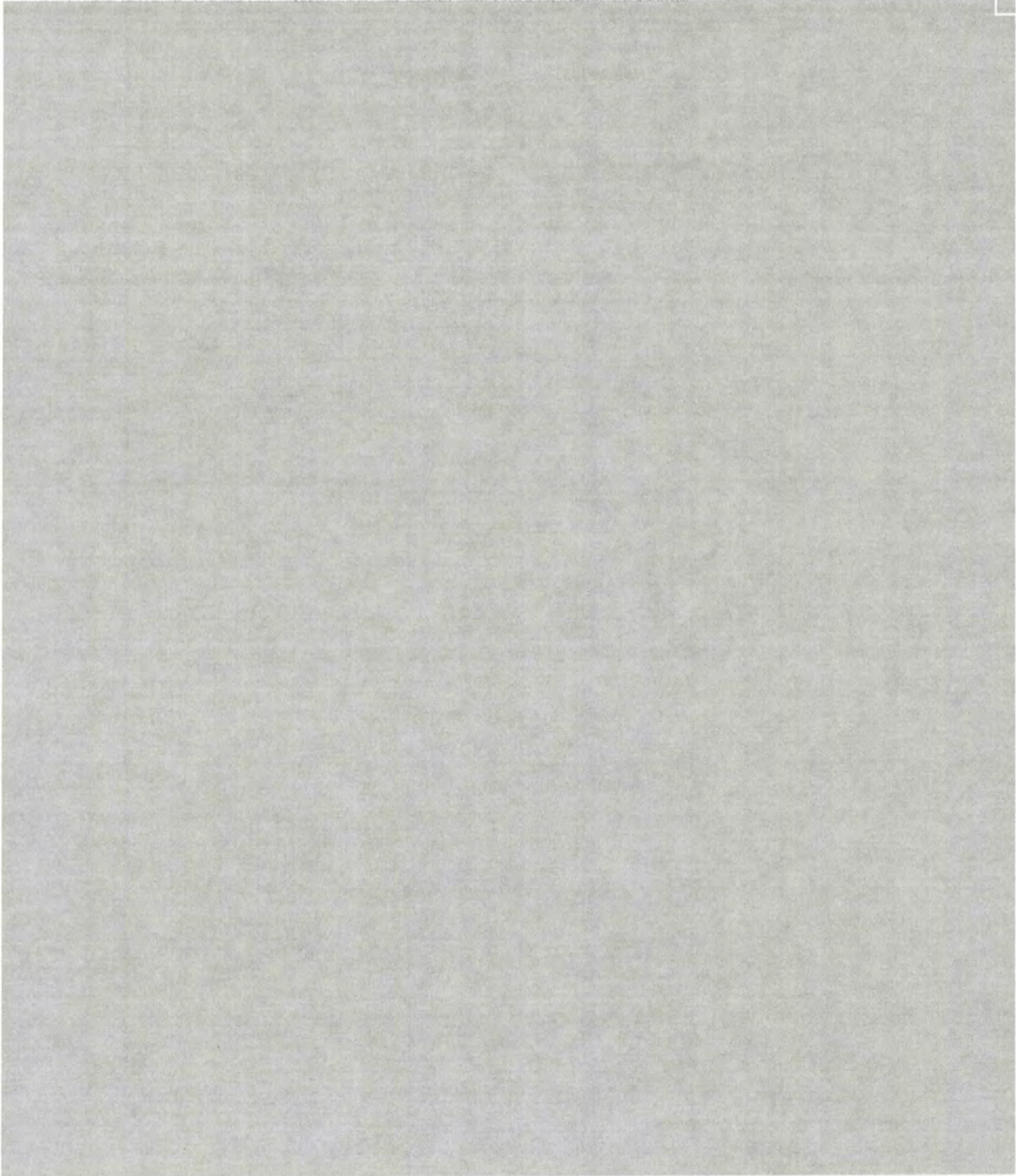
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Dissemination is prohibited unless authorized pursuant to 50 USC § 3033

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b7C - IG Subject
b7E - IG TECH



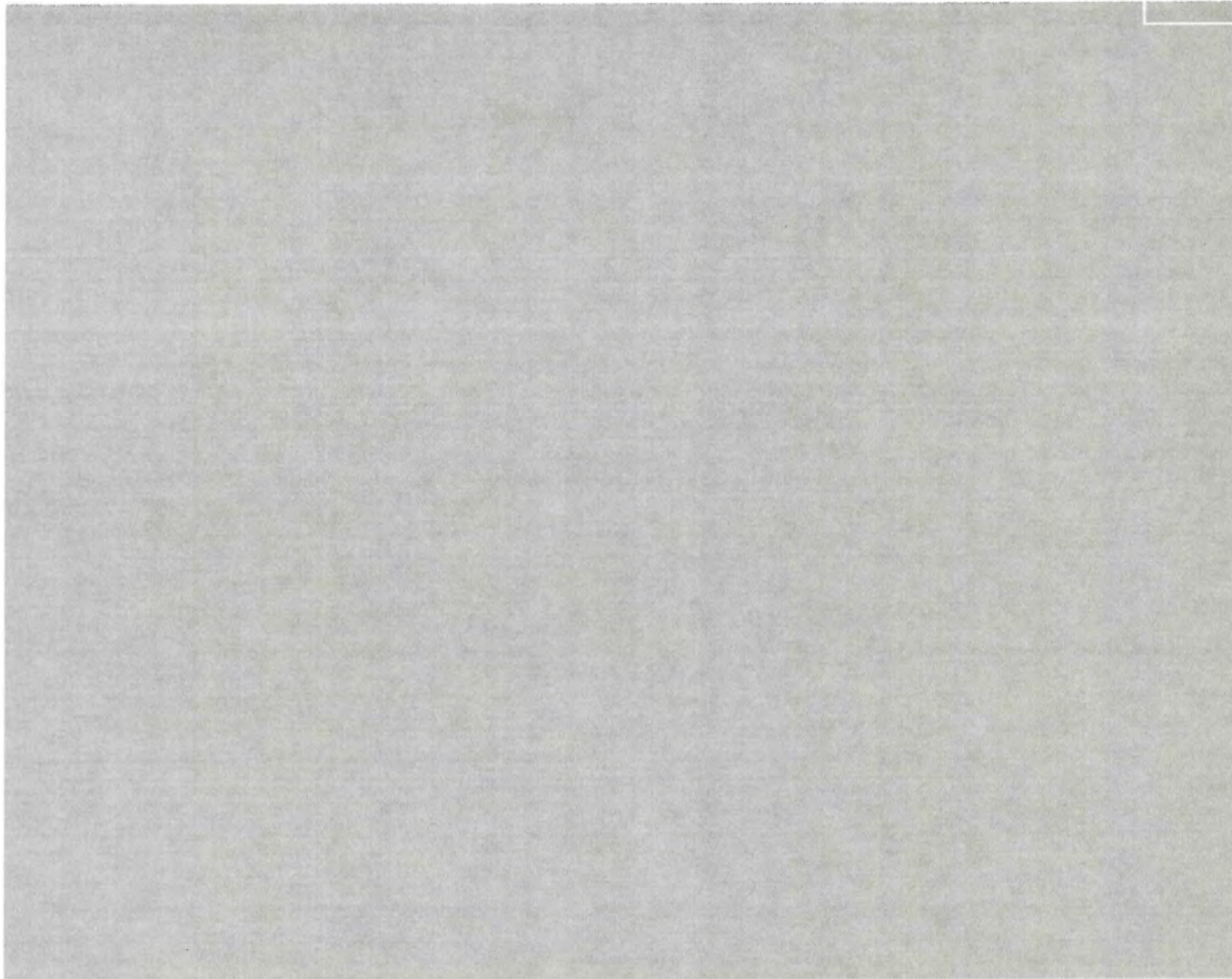
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b7C - IG Subject
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EXHIBIT 2



From: [Redacted]
Sent: Monday, January 14, 2013 11:14 AM
To: [Redacted]
Subject: RE: MLB timecard - action

All marked
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b7C - IG
Witnesses and
Subject

[Redacted], here's the process. If you want to go on Annual leave, you need to submit a request to your supervisor.

You only have 8 hours A/L on the books and no more MLB.



-----Original Message-----

From: [Redacted]
Sent: January 12, 2013 6:53 PM
To: [Redacted]

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EXHIBIT 3

I have been counseled on _____ that I'm not meeting my responsibility as an employee of the Director of National Intelligence (ODNI) to accurately maintain records of my actual hours worked for the US Government. I have been counseled that it is my responsibility to enter into the ODNI TDA system to accurately reflect on the hours I actually worked for the government. Any corrections will be made immediately. The hours of work shall be based upon the time I arrive at my work or other specified assigned location for that day. The time worked shall not include any time waiting for transportation to or from my residence. It is my responsibility to arrive at work on time and to depart to my residence. I shall not charge hours during these times.

On 17 February, we discussed that your time card needs to be amended for the pay period that closed on 18 February. You agreed that you had corrections to make. I stated you could make up the time by Saturday or stay longer than 8 hours on Friday. Your time card should've been amended that day to reflect the hours you actually worked each day. For example, if you actually worked 10 hrs Friday the 11th and only 8 hours on the 12th, your time card needs to be amended, even though the total hours worked was unchanged. You have been counseled that you must amend your time card to accurately reflect the hours you worked for each day period.

If you have any questions on how to amend time cards, _____ or I am available to assist.

Effective immediately, you will begin to hold me a Lotus Notes when you arrive and when you depart for the day. This policy will be in effect until you have successfully demonstrated your competency and your responsibility in keeping track of your time.

If you need to call in sick, you will speak to either me or another government employee in your grade leaving a message or an email for a acceptable/unstaff reply to your email and acknowledge the absence.

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b7C - IG Witness

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You are currently authorized to be on maxi flex. If you fail to meet the requirements outlined above, you will be removed from maxiflex. It is current office policy that you will be in the office—at a minimum—during core hours 0900-3pm. That doesn't mean you can work only 6 hours and charge 8 hours time. It means you have to be in the office from 9-3pm and you may work the other hours on the front end or backend. You may not work longer than 10 hours per day without prior approval.

If I have any questions about filling out my time card, I will seek guidance from either my immediate supervisor or human resource representative.



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EXHIBIT 4

I have no other pertinent information regarding this matter. I have been advised that I may and should contact the OIG if I become aware of any additional information.

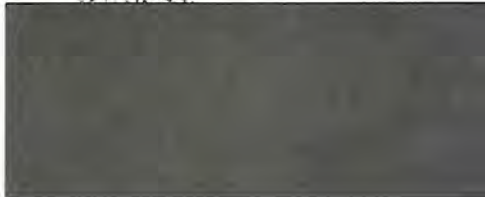
I have read this statement, consisting of this and _____ other pages, and it is true and correct to the best of my knowledge.



Sworn and subscribed before me on 27 day of September, 2013, in the city of Reston, VA.



Witness:



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WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0065 Case-Closing Memorandum

The file for case number INV-2013-0065 contains an incorrectly numbered and dated case-closing memorandum. This Memorandum For The Record corrects the document number from INV-2014-0065 to INV-2013-0065, and the issue date from 18 March 2014 to February 24, 2015.

No further action is required.



(b)(3)
(b)(6)
(b)(7)(C)

23 MAY 2014

Date

Samuel J. Johnson
Assistant Inspector General,
Investigations

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18 MAR 14

(U//~~FOUO~~) MEMO FOR RECORD

(U//~~FOUO~~) SUBJECT: 2014-0065 ([REDACTED])

(U//~~FOUO~~) On 17 SEP 13, the IC IG opened a preliminary inquiry into the activities of [REDACTED] for possible ethics violations. After review of the associated information, the available evidence does not support continued investigation.

All marked
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b7C - IG Subject

(U//~~FOUO~~) This case is closed without further action.



Assistant Inspector General
For Investigations

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18 March 2014

(b)(3)
(b)(6)
(b)(7)(C)

(U//~~FOUO~~) MEMO FOR: AIC [REDACTED]

(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0065 [REDACTED] i)

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b7C - IG Subject

(U//~~FOUO~~) To avoid conflict with an ongoing criminal investigation, I recommend discontinuation of this preliminary inquiry.

[REDACTED]

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28 January 2014

(U//~~FOUO~~) MEMO FOR: AIG 
(U//~~FOUO~~) FROM: INV 
(U//~~FOUO~~) SUBJECT: 2013-0068 

(b)(3)
(b)(6)
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b7C - IG Subject

(U//~~FOUO~~) On 25 September 2013, the IC IG opened an investigation on  due to 806 discrepant hours in badge record data over the course of fourteen months. On 27 January 2014, the IC IG received supplemental data reducing the number of discrepant hours to eleven.

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b7C - IG Subject

(U//~~FOUO~~) After further review of the data associated with this preliminary inquiry, I recommend that this case be closed without further action by this office.



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MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0070 Case-Closing Memorandum

The file for case number INV-2013-0070 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 20 March 2013 to 20 March 2014.

No further action is required.



(b)(3)
(b)(6)
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20 MAY 2016

Date

Assistant Inspector General,
Investigations

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20 MARCH 2013

(U//~~FOUO~~) MEMO FOR: AIG [REDACTED]

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(U//~~FOUO~~) FROM: INV [REDACTED]

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b7C - IG Subject

(U//~~FOUO~~) SUBJECT: 2013-0070 [REDACTED]

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b7E - IG Tech

(U//~~FOUO~~) On 27 FEB 14, the Investigations Division opened an investigation on contractor employee [REDACTED] due to 788 discrepant hours in [REDACTED] over the course of 13 months.

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b7C - IG Subject

(U//~~FOUO~~) ON 7 MAR 14, INV [REDACTED] reviewed the contract and noted that the contract requires a minimum of five trips per year to customer testing and evaluation sites, including Cape Canaveral, Guam, Denver, and Tucson. INV [REDACTED] also consulted with [REDACTED] General Counsel. [REDACTED] related that [REDACTED] works in multiple locations, including IARPA, NRO, and AirIn Tech corporate offices. [REDACTED] also informed INV [REDACTED] that AirIn Tech does not have entry/exit records or IT log-on information available.

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b7C - IG Witness
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b7C - IG Subject

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(U//~~FOUO~~) On 7 MAR 14, both [REDACTED] and [REDACTED] informed this office that this matter was previously reviewed by (former) INV [REDACTED] who subsequently notified TASC on 2 OCT 13 that the matter was closed. INV [REDACTED] consulted with [REDACTED] on 17 MAR 14, and she confirmed that the matter was closed during the preliminary inquiry.

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b7C - IG Subject/Witness

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(U//~~FOUO~~) On 20 MAR 14, INV [REDACTED] received and reviewed [REDACTED], AirIN Tech Invoices for travel expenses, and other travel related documents. As noted on the secondary analysis (attached), a combination of travel records, [REDACTED] eliminates 754 of the original 788 hours from contention.

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b7E - IG Tech

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b7E - IG Tech

(U//~~FOUO~~) After further review of the data associated with this preliminary inquiry, I recommend that this case be closed without further action by this office.

[REDACTED]

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30 Jan 2014

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]

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(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0072 [REDACTED]

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b7C - IG Subject

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b7C - IG Subject

(U//~~FOUO~~) On 6 December 2013, the IC IG opened an investigation on [REDACTED] due to 481.85 discrepant hours in [REDACTED] over the course of one year. On 28 January 2014, the IC IG received supplemental data negating all discrepant hours.

b7E- IG Tech

(U//~~FOUO~~) After further review of the preliminary inquiry, I recommend that this case be closed without further action by this office.

[REDACTED]

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10 March 2014

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]

(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0073 [REDACTED]

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b7C - IG Subject

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b7C - IG Subject

(U//~~FOUO~~) On 20 November 2013, the IC IG opened an investigation on [REDACTED] due to 1,997 discrepant hours in [REDACTED] over the course of fourteen months. The preliminary investigation revealed he only worked on ODNI contracts from 6 February 2013 to 13 June 2013. On 10 March 2014, the IC IG received supplemental information, reducing the number of discrepant hours to two.

b7E - IG Tech

(U//~~FOUO~~) After further review of this preliminary inquiry, I recommend that this case be closed without further action by this office.

[REDACTED]

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6 March 2014

(U//~~FOUO~~) MEMO FOR: AIG [REDACTED]
(U//~~FOUO~~) FROM: INV [REDACTED]
(U//~~FOUO~~) SUBJECT: 2014-0074 [REDACTED]

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b7C - IG Subject

(U//~~FOUO~~) Upon discovering 1,278 discrepant hours charged from 1 June 2012 through 31 July 2013 in a preliminary inquiry, the IC IG Investigations Division opened an investigation regarding [REDACTED] on 20 November 2013. After reviewing additional evidence, this office learned that [REDACTED] charged her hours to approximately six contracts and worked at multiple locations (corporate and government) which negated all discrepant hours.

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b7C - IG Subject

(U//~~FOUO~~) I recommend that this case be discontinued without further action.

[REDACTED]

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[Redacted]

10 JUN 2014

(U//~~FOUO~~) MEMO FOR: AIGI [Redacted]

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(U//~~FOUO~~) FROM: INV [Redacted]

(U//~~FOUO~~) SUBJECT: 2013-0075 [Redacted]

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b7C - IG Subject

(U//~~FOUO~~) On 20 NOV 13, the Inspector General of the Intelligence Community (IC IG), Investigations Division opened a preliminary inquiry on [Redacted] as a result of labor mischarging analysis. The analysis revealed 954 discrepant hours [Redacted] from 1 JUN 12 and 30 JUL 13.

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b7C - IG Subject

b7E - IG Tech

(U//~~FOUO~~) On 27 FEB 14, Investigator [Redacted] received and reviewed the analysis of the mischarging and consulted with the COTR that has oversight of [Redacted] as well as Booze Allen Hamilton (BAH) Counsel [Redacted]. Initial investigative steps indicated that [Redacted] travelled frequently to NRO and NGA between 1 JUN 12 and 30 JUL 13.

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b7C - IG Subject

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(U//~~FOUO~~) On 3 MAR 14, Investigator [Redacted] requested [Redacted] data. On 16 MAY 14, NGA OIG provided [Redacted] review. NGA [Redacted], BAH [Redacted], and BAH [Redacted] revealed [Redacted] was properly billing the contract for 837 of the original 954 hours in question. There remains 117 discrepant hours.

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b7C - IG Subject

b7E - IG Tech

(U//~~FOUO~~) According to a 4 MAR 14 telephone call with [Redacted] [Redacted] is a part-time employee who bills several projects other than ODNI/IARPA projects, and has multiple worksites other than IARPA. [Redacted] [Redacted] and BAH [Redacted] for Department of Defense and Intelligence Community contracts from 1 JUN 12 to 30 JUL 13 show that [Redacted] bills multiple projects on a near-daily basis in addition to his work for IARPA. It is likely that further

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b7C - IG Subject
b7E - IG Tech

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investigation will further reduce the discrepancies to an amount that is negligible.

(U//~~FOUO~~) After further review of the data associated with this preliminary inquiry, I recommend that this case be closed without further action by this office.

A large black rectangular redaction box covering the signature of the investigator.

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INVESTIGATOR

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WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0076 Case-Closing Memorandum

The file for case number INV-2013-0076 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 4 March 2013 to 4 March 2014.

No further action is required.



(b)(3)
(b)(6)
(b)(7)(C)

20 MAY 2014

Date

Assistant Inspector General,
Investigations

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WASHINGTON, DC 20511

2014
[Redacted]

4 MARCH 2013

(U//~~FOUO~~) MEMO FOR: AIG [Redacted]

(b)(3)
(b)(6)
(b)(7)(C)

(U//~~FOUO~~) FROM: INV [Redacted]

(U//~~FOUO~~) SUBJECT: 2013-0076 [Redacted]

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b7C - IG Subject
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b7C - IG Subject
b7E - IG Tech

b7E - IG Tech

(U//~~FOUO~~) On 20 NOV 13, the IC IG opened an investigation on [Redacted] due to 1905 discrepant hours in [Redacted] data over the course of 16 months. On 4 MAR 14, Investigator [Redacted] reviewed the [Redacted] data in conjunction with [Redacted] data and MITRE [Redacted] data, reducing the discrepancy to zero hours.

b7E - IG Tech

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(U//~~FOUO~~) After further review of the data associated with this preliminary inquiry, I recommend that this case be closed without further action by this office.

[Redacted]

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MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0077 Case-Closing Memorandum

(U) The file for case number INV-2013-0077 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 21 March 2014 to February 24, 2015.

(U) No further action is required.



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12 Jan 16
Date

Assistant Inspector General,
Investigations

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21 MAR 14

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]

(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0077 ([REDACTED])

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All marked
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b7C - IG Subject

(U//~~FOUO~~) On 20 NOV 13, the IC IG opened a preliminary inquiry into alleged labor mischarging by [REDACTED]. After further review of the data associated with this investigation, I recommend that this case be closed without further action by this office.

[REDACTED]

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MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0078 Case-Closing Memorandum

The file for case number INV-2013-0078 contains an incorrectly numbered case-closing memorandum. This Memorandum For The Record corrects the case number of that document from INV-2014-0078 to INV-2013-0078.

No further action is required.



(b)(3)
(b)(6)
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20 MAY 2014

Date

Assistant Inspector General,
Investigations

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WASHINGTON, DC 20511

21 March 2014

(U//~~FOUO~~) MEMO FOR: AIG [REDACTED]

(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2014-0078 [REDACTED]

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b7C - IG Subject

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b7C - IG Subject

(U//~~FOUO~~) On 20 November 2013, the IC IG opened a preliminary inquiry regarding [REDACTED] upon discovering discrepant hours charged to the ODNI contract from 1 June 2012 through 31 July 2013. Upon reviewing supplemental evidence, the IC IG learned that [REDACTED] divided his time between LX and his contractor facility. His [REDACTED] revealed that he worked all hours charged

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b7C - IG Subject
b7E - IG Tech

(U//~~FOUO~~) After further review of the data associated with this preliminary inquiry, I recommend that this case be discontinued without further action by this office.

[REDACTED]
INVESTIGATOR

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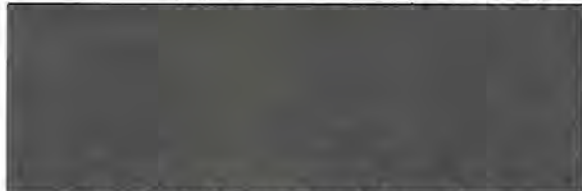
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MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0079, INV-2014-0003-0004 Case-Closing Memorandum

(U) The file for case numbers INV-2013-0079, INV-2014-0003, and INV-2014-0004 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 01 August 2014 to February 24, 2015.

(U) No further action is required.



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12 JAN 16

Date

Assistant Inspector General,
Investigations

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1 AUG 14

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]

(b)(3)
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(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0079 DCIS Support (DIA Armored Car Case)
2014-0003 DCIS Support (NGA Ethics Case)
2014-0004 DCIS Support (NGA Ethics PI)

(U//~~FOUO~~) From 12 DEC 13 to 1 APR 14, the IC IG Opened the subject INV numbers to document support to the Defense Criminal Investigative Service activities at the Defense Intelligence Agency (DIA) and National Geospatial Intelligence Agency (NGA).

(U//~~FOUO~~) As of 1 AUG 14, DCIS has declined further assistance on the subject issues. Recommend the INV numbers be closed out without further action by this office.

[REDACTED]

INVESTIGATOR

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

12 February 2014

(b)(3)

MEMORANDUM FOR: [redacted] Intelligence Community Chief Information Officer,
Office of the Director of National Intelligence

THRU: (b)(3) [redacted] Assistant Inspector General for Investigations Office of
(b)(6) the Intelligence Community Inspector General
(b)(7)(C)

FROM: [redacted], Hotline Manager Office of the Intelligence
Community Inspector General

SUBJECT: [redacted] DNI/CIO/SP/GPO/CSS

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b7C - IG Subject

(U//~~FOUO~~) On 7 JAN 2014, an employee of the Office of the Chief Information Office
of the IC (CIO) informed the Intelligence Community Inspector General (IC IG) Investigations
Division that a CIO employee, [redacted], may be recording time and attendance improperly.

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b7C - IG Subject

(U//~~FOUO~~) Based on this complaint the Investigations Division examined Ms. [redacted]
time and attendance records. We discovered that [redacted] may have submitted inaccurate time and
attendance records between 8 JAN 2012 and 8 JAN 2014. However, the discrepancies we found
were not significant enough to warrant a formal investigation by this office. Therefore, we are
forwarding this matter to you for any inquiry you deem appropriate.

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b7C - IG Subject

[redacted signature block]

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IC IG Hotline Manager

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WASHINGTON, DC 20511

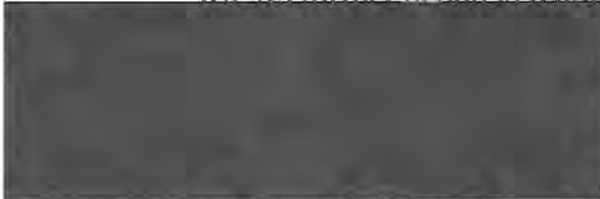
MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0079, INV-2014-0003-0004 Case-Closing Memorandum

(U) The file for case numbers INV-2013-0079, INV-2014-0003, and INV-2014-0004 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 01 August 2014 to February 24, 2015.

(U) No further action is required.

(b)(3)
(b)(6)
(b)(7)(C)



12 JAN 2016

Date

Assistant Inspector General,
Investigations

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
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WASHINGTON, DC 20511

1 AUG 14

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]

(b)(3)
(b)(6)
(b)(7)(C)

(U//~~FOUO~~) FROM: INV [REDACTED]

(U//~~FOUO~~) SUBJECT: 2013-0079 DCIS Support (DIA Armored Car Case)
2014-0003 DCIS Support (NGA Ethics Case)
2014-0004 DCIS Support (NGA Ethics PI)

(U//~~FOUO~~) From 12 DEC 13 to 1 APR 14, the IC IG Opened the subject INV numbers to document support to the Defense Criminal Investigative Service activities at the Defense Intelligence Agency (DIA) and National Geospatial Intelligence Agency (NGA).

(U//~~FOUO~~) As of 1 AUG 14, DCIS has declined further assistance on the subject issues. Recommend the INV numbers be closed out without further action by this office.

[REDACTED]

(b)(3)
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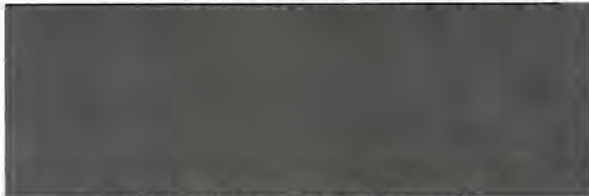
OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2013-0079, INV-2014-0003-0004 Case-Closing Memorandum

(U) The file for case numbers INV-2013-0079, INV-2014-0003, and INV-2014-0004 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 01 August 2014 to February 24, 2015.

(U) No further action is required.



Assistant Inspector General,
Investigations

(b)(3)
(b)(6)
(b)(7)(C)

12 JAN 2016

Date

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED] (b)(3) (b)(6) (b)(7)(C) 1 AUG 14
(U//~~FOUO~~) FROM: INV [REDACTED]
(U//~~FOUO~~) SUBJECT: 2013-0079 DCIS Support (DIA Armored Car Case)
2014-0003 DCIS Support (NGA Ethics Case)
2014-0004 DCIS Support (NGA Ethics PI)

(U//~~FOUO~~) From 12 DEC 13 to 1 APR 14, the IC IG Opened the subject INV numbers to document support to the Defense Criminal Investigative Service activities at the Defense Intelligence Agency (DIA) and National Geospatial Intelligence Agency (NGA).

(U//~~FOUO~~) As of 1 AUG 14, DCIS has declined further assistance on the subject issues. Recommend the INV numbers be closed out without further action by this office.

[REDACTED]
INVESTIGATOR

(b)(3)
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WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2014-0005 Case-Closing Memorandum

(U) The file for case number INV-2014-0005 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 22 April 2014 to February 24, 2015.

(U) No further action is required.

(b)(3)
(b)(6)
(b)(7)(C)



12 Jan 16

Date

Assistant Inspector General,
Investigations

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED] 22 APR 14
(U//~~FOUO~~) FROM: INV [REDACTED]
(U//~~FOUO~~) SUBJECT: 2014-0005 [REDACTED]

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b7C - IG Subject

(U//~~FOUO~~) On 22 APR 14, the IC IG opened a preliminary inquiry into alleged labor mischarging by [REDACTED]. After further review of the data associated with this investigation, I recommend that this case be closed without further action by this office.

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b7C - IG Subject

[REDACTED]
INVESTIGATOR

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MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2014-0006 Case-Closing Memorandum

(U) The file for case number INV-2014-0006 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 22 April 2014 to February 24, 2015.

(U) No further action is required.



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12 Jan 16

Date

Assistant Inspector General,
Investigations

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

22 APR 14

(U//~~FOUO~~) MEMO FOR: AIGI [REDACTED]
(U//~~FOUO~~) FROM: INV [REDACTED]
(U//~~FOUO~~) SUBJECT: 2014-0006 [REDACTED]

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b7C - IG Subject

(U//~~FOUO~~) On 31 MAR 14, the IC IG opened a preliminary inquiry into alleged labor mischarging by [REDACTED]. After further review of the data associated with this investigation, I recommend that this case be closed without further action by this office.

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b7C - IG Subject

[REDACTED]
INVESTIGATOR

(b)(3)
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WASHINGTON, DC 20511



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22 APR 14

(U//~~FOUO~~) MEMO FOR: AIGI

(U//~~FOUO~~) FROM: INV

(U//~~FOUO~~) SUBJECT: 2014-0007

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b7C - IG Subject

(U//~~FOUO~~) On 31 MAR 14, the IC IG opened a preliminary inquiry into alleged labor mischarging by . After further review of the data associated with this investigation, I recommend that this case be closed without further action by this office.

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b7C - IG Subject



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INVESTIGATOR

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WASHINGTON, DC 20511

MEMORANDUM FOR RECORD

SUBJECT: Correction to INV-2014-0007 Case-Closing Memorandum

(U) The file for case number INV-2014-0007 contains an incorrectly dated case-closing memorandum. This Memorandum For The Record corrects the issue date of that document from 22 April 2014 to February 24, 2015.

(U) No further action is required.

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12 JAN 16

Date

Assistant Inspector General,
Investigations

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OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
INVESTIGATIONS DIVISION
WASHINGTON, DC 20511

10 September 2014

(U//~~FOUO~~) MEMO FOR:

AIG



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(U//~~FOUO~~) FROM:

Investigator



(U//~~FOUO~~) SUBJECT:

2014-0010



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b7C - IG Subject

(U//~~FOUO~~) Background. On 19 June 2014, the IC IG opened an investigation of [REDACTED] based on a referral from the ODNI Ethics Official & Deputy General Counsel (Acquisition, Technology & Management). This memo alleged that [REDACTED] may have violated 18 USC § 208. According to the referral, [REDACTED] mother-in-law passed away in 2011. As a result of his mother-in-law's death, his wife inherited a stock portfolio worth several million dollars, to include 500 shares of stock in Honeywell, valued at approximately \$23,500. The referral stated the current value of these Honeywell holdings is approximately \$45,500.

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(U//~~FOUO~~) [REDACTED] serves as the Program Manager for IARPA's Strengthening Human Adaptive Reasoning and Problem-Solving (SHARP) program. [REDACTED] participated in the selection of Honeywell as one of three prime contractors for the SHARP program in September 2013. [REDACTED] did not recuse himself from this selection process, nor did he seek a waiver under 18 U.S.C § 208 (b)(1). [REDACTED] allegedly reported his wife's portfolio, including the Honeywell stocks, in his 2012 and 2013 Executive Branch Personnel Public Financial Disclosure Report (OGE-

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b7C - IG Subject

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278). It was during the completion of his 2013 form that [redacted] discovered the potential conflict of interest. At that time, he reportedly informed his supervisor, and ODNI OGC regarding this issue. This matter was subsequently referred to the IC IG as a potential violation of 18 U.S.C § 208.

(U//~~FOUO~~) Violation. 18 U.S.C. § 208- Acts Affecting a Personal Financial Interest, states, "(a) whoever, being an officer or employee of the executive branch of the United States Government...participates personally and substantially as a Government officer or employee, through decision, approval, disapproval, recommendation...in a contract...in which, to his knowledge...his spouse...has a financial interest— shall be subject to the penalties set forth in section 216 of this title."

(U//~~FOUO~~) Investigation. The investigation included a review of, but was not limited to: interviews confirming his participation in the selection of Honeywell as one of three primary contractors for the SHARP contract; OGE-278 forms for 2012 and 2013 in which [redacted] reported his wife's ownership of Honeywell stock; signed Financial Interest Statement (FIS) in which [redacted] failed to report a conflict of interest regarding Honeywell; emails to and from OGC which explained his wife's inheritance of Honeywell stock and his subsequent participation in the selection of Honeywell; as well as emails to and from OGC regarding [redacted] realization after the fact that his wife still owned Honeywell stock. Interviews included: [redacted] (Legal Counsel for IARPA and SHARP source selection), and [redacted] ([redacted] supervisor and Source Selection Authority for SHARP).

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& Witnesses

(U//~~FOUO~~) On 2 July 2014 and 29 July 2014, the IC IG interviewed [redacted] and [redacted], respectively. During their interviews, [redacted] and [redacted] confirmed that they were aware that [redacted] participated as Chair of the Source Selection Evaluation Board (SSEB) for the SHARP contract; read Honeywells' proposal; and evaluated Honeywell along with the other members of the SSEB. Both [redacted] and [redacted] advised that [redacted] briefed Honeywells' selection as one of the prime contractors to the Source Selection Authority (SSA), IARPA Acquisition Chief ([redacted]), and the Contracts Officer ([redacted]). [redacted] and [redacted] confirmed that they personally spoke to [redacted] after he allegedly realized his wife's ownership of Honeywell stock in May 2014. [redacted] and [redacted] both confirmed in their respective interviews that they reviewed [redacted] FIS, and were aware he had not reported the conflict of interest with Honeywell. Additionally, both [redacted] and [redacted] acknowledged had [redacted] realized it, the proper place to list the conflict of interest would have been

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b7C - IG Subject
& Witnesses

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the FIS. They added he would then have been required to recuse himself from any participation in the evaluation and selection of Honeywell.

(U//~~FOUO~~) They further noted that according to [REDACTED] he does not maintain—and had never maintained—access to his wife's holdings per a prenuptial agreement. According to [REDACTED] and [REDACTED] [REDACTED] stated he was provided a list of his wife's holdings at the time he filled out his 2012 and 2013 OGE 278 forms and used that list to fill out the forms. However, according to the interviewees, [REDACTED] contended he did not realize prior to his participation in the evaluation of Honeywells' proposal that a conflict of interest existed. Both witnesses verified that upon discovering the potential violation, [REDACTED] self-reported this financial conflict of interest on the same day his 2013 OGE-278 form was completed (9 May 2014).

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b7C - IG Subject
& Witnesses

(U//~~FOUO~~) IC IG reviewed a message to [REDACTED], ODNI OGC, in which [REDACTED] indicated he was filling out this form on 9 May 2014, and another in which he verified the time in which his meeting would occur with [REDACTED] and [REDACTED] that same day. In addition, our review of the completed 2013 OGE 278 form verified that [REDACTED] submitted it on 9 May 2014.

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b7C - IG Subject
& Witnesses

(U//~~FOUO~~) Both [REDACTED] and [REDACTED] offered that [REDACTED] reported a conflict of interest with University of Maryland (UM), where his wife attended as a graduate student, and had subsequently listed it on his FIS.

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b7C - IG Subject
& Witnesses

(U//~~FOUO~~) On 27 June 2014, the IC IG interviewed [REDACTED]. [REDACTED] stated during her interview that she talked to [REDACTED] about this matter on the phone and received a follow up email in which he explained what had occurred. [REDACTED] confirmed during her interview the accuracy of the events detailed by [REDACTED] and [REDACTED]. She also noted that [REDACTED] and [REDACTED] immediately reported this incident to her. [REDACTED] forwarded an email from [REDACTED] which was sent to her on 12 May 2014, which described this incident. [REDACTED] stated she advised [REDACTED] to recuse himself from any further participation as Program Manager for Honeywell on the SHARP contract; requested a review of the source selection process by [REDACTED] and suggested that [REDACTED] have his wife divest the Honeywell stock. [REDACTED] advised that [REDACTED] complied with all of her suggestions on this matter and forwarded an email he sent her from Merrill Lynch on 2 June 2014, confirming his wife's divestiture of the Honeywell stock.

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& Witnesses

(U//~~FOUO~~) IC IG investigations reviewed the email sent to [REDACTED] by [REDACTED]. In this email, [REDACTED] stated his wife inherited the

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& Witnesses

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Honeywell stock in August 2011 after her mother passed away, and that the stocks in question transferred to his wife's portfolio. [REDACTED] stated that he has no access to the portfolio as required by his and his wife's prenuptial agreement. In the email, [REDACTED] explained that he knew to report the Honeywell stock on his 2012 OGE 278 form in May 2013, because his wife provided him with a written list of her holdings. His email further stated that he realized the financial conflict of interest while inputting his wife's additions on 9 May 2014 and immediately brought it to [REDACTED] and [REDACTED] attention.

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b7C - IG Subject
& Witnesses

(U//~~FOUO~~) On 21 August 2014, the IC IG interviewed [REDACTED]. During his interview, [REDACTED] confirmed the above information and verified the OGE 278s as well as FIS forms obtained by the IC IG. He also provided the following information:

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b7C - IG Subject

- He admitted that he should have paid more attention to his wife's holdings and that the FIS was the proper place to report the potential conflict of interest with Honeywell.
- He explained that while he is intimately aware of his financial holdings, that he is not aware of his wife's due to the existing prenuptial agreement.
- On several occasions, he described this incident as an honest mistake and oversight on his part and adamantly denied that he knew his wife had Honeywell stock prior to participating in the source selection process involving Honeywell.

(U//~~FOUO~~) Findings: [REDACTED] participated personally and substantially in the evaluation and selection of Honeywell as a primary contractor for SHARP. [REDACTED] reported Honeywell on his 2012 OGE-278 prior to participating in the SSEB for SHARP which indicates his wife had a financial interest at the time. However, an evaluation of the available evidence indicates that he self-reported the conflict of interest immediately when filling out his 2013 OGE-278, which supports his claim that he first realized the financial conflict of interest at that time. No additional evidence was discovered to refute [REDACTED] contention that he did not realize at the time of his participation in the SHARP SSEB that his wife had Honeywell stock, due to the large size of the portfolio and the existing prenuptial agreement.

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(U//~~FOUO~~) The available evidence does not substantiate that [REDACTED] participated as a government officer in a matter which he knew his spouse had a financial interest. I recommend this matter be closed with no further action by this office. The U.S. Attorney's Office for the Eastern

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District of Virginia declined to prosecute this matter on September 9, 2014.



Investigator

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